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Some inequalities for symmetric functions and an application to orthogonal polynomials $\stackrel{\text{\tiny{}}}{\sim}$

Gradimir V. Milovanović*, Aleksandar S. Cvetković

Department of Mathematics, University of Niš, Faculty of Electronic Engineering, PO Box 73, 18000 Niš, Serbia and Montenegro

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Abstract

We present some sharp inequalities for symmetric functions and give an application to orthogonal polynomials.

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1. Introduction

Symmetric functions are important in several branches of mathematics, especially in approximation theory, probability theory, combinatorics and algebra, and they have many applications in different areas (see [5, Chapter 1] for details about symmetric functions).

* Corresponding author.

E-mail addresses: grade@elfak.ni.ac.yu (G.V. Milovanović), aca@elfak.ni.ac.yu (A.S. Cvetković).

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Let Q(x) be a polynomial of degree $n \in \mathbb{N}$ with zeros λ_{ν} , $\nu = 1, ..., n$, i.e.,

$$Q(x) = C \prod_{k=1}^{n} (x - \lambda_{\nu}), \quad C \neq 0.$$
 (1.1)

It is well known that the coefficients of the polynomial (1.1) can be represented, using symmetric functions, in the following form:

$$Q(x) = C(x^{n} - \sigma_{n,1}x^{n-1} + \sigma_{n,2}x^{n-2} - \dots + (-1)^{n}\sigma_{n,n}),$$

where $\sigma_{n,k}$, k = 1, ..., n, are the so-called *elementary symmetric functions*,

$$\sigma_{n,k} = \sum_{(i_1,\ldots,i_k)} \lambda_{i_1}\cdots\lambda_{i_k}, \quad k = 1,\ldots,n,$$

and where the summation is performed over all combinations (i_1, \ldots, i_k) of the basic set $\{1, \ldots, n\}$. Thus,

$$\sigma_{n,1} = \lambda_1 + \lambda_2 + \dots + \lambda_n, \qquad \sigma_{n,2} = \lambda_1 \lambda_2 + \dots + \lambda_{n-1} \lambda_n, \qquad \dots,$$

$$\sigma_{n,n} = \lambda_1 \lambda_2 \cdots \lambda_n.$$

For the convenience we put $\sigma_{n,0} = 1$ and $\sigma_{n,k} = 0$, k > n or k < 0. When we want to refer to the all elementary symmetric functions, we use notation $\sigma_n = (\sigma_{n,0}, \dots, \sigma_{n,n})$, where σ_n represents a vector with n + 1 components.

There are several classical inequalities with symmetric functions (cf. [3,6,8,12,13,15–17]). For some recent results see [1,4,7,11,14]. For example, some general results on the positivity of symmetric functions have been recently obtained by Timofte [14].

In this paper we present the positivity for a special family of symmetric polynomials $p_k^n(\sigma_n)$ and give some applications to orthogonal polynomials. The paper is organized as follows. The main inequality $p_k^n(\sigma_n) > 0$ (Theorem 2.3) is stated in Section 2 and its proof is given in Section 3. A determinant representation of $p_k^n(\sigma_n)$ is presented in Section 4 and some special cases are analyzed in Section 5. Finally, Section 6 is devoted to some applications to linear functionals and orthogonal polynomials.

2. Inequalities

In this paper we assume that all zeros of the polynomial (1.1) are positive, i.e.,

 $\lambda_{\nu} > 0, \quad \nu = 1, \ldots, n.$

Let the derivatives of Q(x) at the point zero be denoted by $Q^{(k)}(0)$, i.e.,

$$Q^{(k)}(0) = \frac{d^k Q(x)}{dx^k} \Big|_{x=0}, \quad k \in \mathbb{N}_0.$$

Obviously, we have

$$Q^{(k)}(0) = (-1)^{n-k} k! C \sigma_{n,n-k}, \quad k = 0, 1, \dots, n.$$
(2.1)

We also define the sequence

$$Q_k = \frac{1}{k!} \frac{d^k}{dx^k} \frac{1}{Q(x)} \bigg|_{x=0}, \quad k \in \mathbb{N}_0.$$

Lemma 2.1. The sequence Q_k , $k \in \mathbb{N}_0$, satisfies the following recurrence relation:

$$\sigma_{n,n}Q_k = \frac{(-1)^n \delta_{k,0}}{k! C} + (-1)^{k-1} \sum_{\nu=\max\{0,k-n\}}^{k-1} (-1)^\nu \sigma_{n,n-k+\nu}Q_\nu, \quad k \in \mathbb{N}_0.$$
(2.2)

If the sum is empty, we consider it to be zero.

Proof. Put f(x) = Q(x) and g(x) = 1/Q(x), obviously we have fg = 1. If we apply the Leibnitz rule for the derivative of a product, we get

$$\frac{d^k}{dx^k}(fg)\Big|_{x=0} = \sum_{\nu=0}^k \binom{k}{\nu} f^{(k-\nu)}(0)g^{(\nu)}(0) = \delta_{k,0}.$$

Substituting $f^{(\nu)}(0) = Q^{(\nu)}(0)$, $g^{(\nu)}(0) = Q_{\nu}$, and using (2.1), the previous equation reduces to

$$\sigma_{n,n}Q_k = \frac{(-1)^n \delta_{k,0}}{k! C} + (-1)^{k-1} \sum_{\nu=0}^{k-1} (-1)^{\nu} \sigma_{n,n-k+\nu}Q_{\nu}, \quad k \in \mathbb{N}_0.$$

According to the fact that $\sigma_{n,k} = 0$ for k < 0, we can truncate the summation in the previous form and so we get (2.2).

Equality in (2.2) holds even for the choice k = 0, in which case it reduces to $Q_0 = 1/Q(0) = (-1)^n/(C\sigma_{n,n})$. \Box

For k > 0, in (2.2) we have the homogenous difference equation ($\delta_{k,0} = 0$), which generates the solution $\sigma_{n,n}^k Q_k = p_k^n(\sigma_n)Q_0$, where $p_k^n(\sigma_n)$, $k \in \mathbb{N}$, is a polynomial in $\sigma_{n,0}, \ldots, \sigma_{n,n}$.

Now, we can state the following result:

Lemma 2.2. *The solution of the difference equation* (2.2) *admits a representation of the following form:*

$$\sigma_{n,n}^k Q_k = p_k^n(\boldsymbol{\sigma}_n) Q_0, \quad k \in \mathbb{N},$$

where p_k^n is a polynomial of degree k of the elementary symmetric functions $\sigma_{n,\nu}$, $\nu = 0, 1, ..., n$.

Proof. For k = 1 the statement is obvious, since

 $\sigma_{n,n}Q_1 = \sigma_{n,n-1}Q_0$ and $p_1^n(\boldsymbol{\sigma}_n) = \sigma_{n,n-1}$.

Assuming it is true for Q_1 , we are able to prove the statement for Q_2 , since

$$\sigma_{n,n}^2 Q_2 = -\sum_{\nu=0}^{1} (-1)^{\nu} \sigma_{n,n-k+\nu} \sigma_{n,n} Q_{\nu}$$

= $-(\sigma_{n,n} \sigma_{n,n-2} - \sigma_{n,n-1} p_1^n(\sigma_n)) Q_0 = p_2^n(\sigma_n) Q_0$

where $p_2^n(\sigma_n) = \sigma_{n,n-1}^2 - \sigma_{n,n}\sigma_{n,n-2}$. Repeating the same arguments, we can prove that our statement holds for $k \leq n$. Starting from that point, we can apply the induction.

Assuming that statement holds for Q_{k-n}, \ldots, Q_{k-1} , we prove that it is true for Q_k , since

$$\sigma_{n,n}^{k} Q_{k} = (-1)^{k-1} \sum_{\nu=k-n}^{k-1} (-1)^{\nu} \sigma_{n,n}^{k-\nu-1} \sigma_{n,n-k+\nu} \sigma_{\nu,n}^{\nu} Q_{\nu}$$
$$= (-1)^{k-1} Q_{0} \sum_{\nu=k-n}^{k-1} (-1)^{\nu} \sigma_{n,n}^{k-\nu-1} \sigma_{n,n-k+\nu} p_{\nu}^{n}(\sigma_{n}) = p_{k}^{n}(\sigma_{n}) Q_{0},$$

where obviously we have

$$p_k^n(\boldsymbol{\sigma}_n) = (-1)^{k-1} \sum_{\nu=k-n}^{k-1} (-1)^{\nu} \sigma_{n,n}^{k-\nu-1} \sigma_{n,n-k+\nu} p_{\nu}^n(\boldsymbol{\sigma}_n), \quad k > n.$$

Adopting $p_0^n(\sigma_n) = 1$ and $p_k^n(\sigma_n) = 0$, k < 0, we rewrite the recurrence (2.2) for the sequence $Q_k, k \in \mathbb{N}_0$, into the recurrence for the sequence $p_k^n(\sigma_n), k \in \mathbb{N}_0$. Thus, we have

$$p_{k}^{n}(\boldsymbol{\sigma}_{n}) = \delta_{k,0} + (-1)^{k-1} \sum_{\nu=\max\{0,k-n\}}^{k-1} (-1)^{\nu} \sigma_{n,n}^{k-\nu-1} \sigma_{n,n-k+\nu} p_{\nu}^{n}(\boldsymbol{\sigma}_{n}), \quad k \in \mathbb{N}_{0}.$$
(2.3)

Using the previously defined quantities, we can state our main result.

Theorem 2.3. Provided all zeros λ_{ν} , $\nu = 1, ..., n$, counting multiplicities, of the polynomial Q are positive, we have

$$p_k^n(\boldsymbol{\sigma}_n) > 0, \quad k \in \mathbb{N}_0.$$

$$\tag{2.4}$$

As an illustration, we give values of the polynomials p_k^n , $k \in \mathbb{N}$, for the case when the polynomial Q has only two zeros. Thus, we have the following statement:

Theorem 2.4. Suppose that the polynomial Q is of the second degree, then

$$p_k^2(\boldsymbol{\sigma}_2) = \sum_{\nu=0}^{[k/2]} a_{2,\nu}^k \sigma_{2,1}^{k-2\nu} (\sigma_{2,0}\sigma_{2,2})^{\nu}, \quad k \in \mathbb{N}_0,$$
(2.5)

where the coefficients $a_{2,\nu}^k$, $\nu, k \in \mathbb{N}_0$, satisfy the following recurrences:

$$a_{2,\nu}^{k} = a_{2,\nu}^{k-1} - a_{2,\nu-1}^{k-2}, \quad \nu = 1, \dots, [k/2] - 1, \qquad a_{2,0}^{k} = 1, \quad k \in \mathbb{N}_{0},$$

$$a_{2,\nu}^{2\nu} = (-1)^{\nu}, \qquad a_{2,\nu}^{2\nu+1} = 0, \quad \nu \in \mathbb{N}_{0}, \qquad a_{2,\nu}^{k} = 0, \quad \nu \notin \{0, 1, \dots, [k/2]\}.$$
(2.6)

Moreover,

$$a_{2,\nu}^{k} = (-1)^{\nu} {\binom{k-\nu}{\nu}}, \quad k \ge 2\nu, \qquad a_{2,\nu}^{k} = 0, \quad k < 2\nu.$$
 (2.7)

3. Proof of Theorem 2.3

We assume that the polynomial Q has M distinct zeros, denoted by μ_{ν} , $\nu = 1, ..., M$. Their multiplicities are denoted by M_{ν} , respectively, where

$$\sum_{\nu=1}^M M_\nu = n.$$

Proof of Theorem 2.3. Obviously, in the case n = 1, the polynomial Q has only one simple zero. There is nothing to prove, since using (2.3), we can calculate

 $p_k^1(\sigma_1) = 1 > 0$

and (2.4) holds.

In the sequel, we assume n > 1. First, we assume that for the zero μ_1 we have multiplicity $M_1 \ge 2$. Consider now the following polynomials:

$$P_k(x) = 1 + \int_0^x \frac{Q(t)}{\prod_{\nu=1}^M (t - \mu_\nu)} \frac{q(t)}{t - \mu_1} t^k dt, \quad q \in \mathcal{P}_{M-1}, \ k \in \mathbb{N}.$$
(3.1)

For different polynomials $q \in \mathcal{P}_{M-1}$ we have different polynomials P_k . For example, taking the special case $q \equiv 0$, we have $P_k \equiv 1$.

For a polynomial $q \in \mathcal{P}_{M-1}$ which is not identically zero, since

$$P'_{k}(x) = \frac{Q(x)}{\prod_{\nu=1}^{M} (x - \mu_{\nu})} \frac{q(x)}{x - \mu_{1}} x^{k},$$

we conclude that P'_k has zeros at μ_{ν} , $\nu = 1, ..., M$, of the multiplicities $M_{\nu} - 1 - \delta_{\nu,1}$, $\nu = 1, ..., M$, respectively, and a zero at the point zero of the multiplicity k. It is also easy to verify that the degree of P_k is n + k - 1.

We show that the system of equations

$$P_k(\mu_{\nu}) = 1 + \int_0^{\mu_{\nu}} \frac{Q(t)}{\prod_{\nu=1}^M (t - \mu_{\nu})} \frac{q(t)}{t - \mu_1} t^k dt = 0, \quad \nu = 1, \dots, n,$$
(3.2)

has a solution $q \in \mathcal{P}_{M-1}$.

First, note that we can rewrite this system of equations in the form

$$\int_{\mu_{\nu}}^{\mu_{\nu+1}} \frac{Q(t)}{\prod_{\nu=1}^{M} (t-\mu_{\nu})} \frac{q(t)}{t-\mu_{1}} t^{k} dt = -\delta_{\nu,0}, \quad \nu = 0, 1, \dots, M-1,$$
(3.3)

where we use the convention $\mu_0 = 0$. To prove that the system (3.3) has a unique solution, it is enough to prove that the corresponding homogeneous system

$$\int_{\mu_{\nu}}^{\mu_{\nu+1}} \frac{Q(t)}{\prod_{\nu=1}^{M} (t-\mu_{\nu})} \frac{q(t)}{t-\mu_{1}} t^{k} dt = 0, \quad \nu = 0, 1, \dots, M-1,$$
(3.4)

has only the trivial solution $q \equiv 0$ in \mathcal{P}_{M-1} . Note that the polynomial

$$\frac{Q(t)}{\prod_{\nu=1}^{M}(t-\mu_{\nu})}\frac{t^{k}}{t-\mu_{1}},$$

has a constant sign on the intervals $(\mu_{\nu}, \mu_{\nu+1}), \nu = 0, 1, \dots, M-1$, since it has no zeros in these intervals. Therefore, the homogenous equations (3.4) imply that polynomial qmust have at least one zero in each of the intervals $(\mu_{\nu}, \mu_{\nu+1}), \nu = 0, 1, \dots, M-1$. This means that the polynomial q must have at least M zeros, the only polynomial from \mathcal{P}_{M-1} satisfying this condition is, of course a polynomial which is identically zero.

This means that the system of equations (3.2) has a unique solution $q \in \mathcal{P}_{M-1}$. We denote that solution q^* . So that there exists (uniquely) polynomial P_k of the form (3.1), which has zeros at μ_{ν} , $\nu = 1, ..., M$, of the order $M_{\nu} - \delta_{\nu,1}$, $\nu = 1, ..., M$, denoted here P_k^* .

For the polynomial $(P_k^*)'$, we know that it has zeros of the order $M_v - 1 - \delta_{v,1}$ at the points μ_v , v = 1, ..., M, and a zero of degree k at the point zero. Since it is of degree k + n - 2, there are M - 1 more zeros those are zeros of q^* . Using Role's theorem, we know that $(P_k^*)'$ must have at least one zero in each interval $(\mu_v, \mu_{v+1}), v = 1, ..., M - 1$, since the polynomial P_k^* has zeros at the points μ_v , v = 1, ..., M. There are M - 1 such zeros, so that the zeros of $q^* \zeta_v$, v = 1, ..., M - 1, are simple and belong to the intervals $\zeta_v \in (\mu_v, \mu_{v+1}), v = 1, ..., M - 1$. Since the polynomial

$$\frac{Q(x)}{\prod_{\nu=1}^{M}(t-\mu_{\nu})}\frac{x^{k}}{x-\mu_{1}}q(x),$$

does not have any zeros in the interval $(0, \mu_1)$, it is of a constant sign there, P_k^* is also of the positive sign on the interval $(0, \mu_1)$. If it is not the case, then since $P_k^*(0) = 1$, there is at least one zero of the polynomial P_k^* in the interval $(0, \mu_1)$ suppose it is the point ζ . Then, according to the Role's theorem, there must be at least one zero of the polynomial $(P_k^*)'$ in the interval (ζ, μ_1) , but this is a contradiction. Thus, the polynomial P_k^* is of the positive sign on the interval $(0, \mu_1)$.

Obviously, P_k^* cannot have zero in the interval (μ_1, μ_2) , if it does then $(P_k^*)'$ must have two zeros in the interval (μ_1, μ_2) and those must be zeros of q^* , which is a contradiction. This leads to an observation that the polynomial $P_k^*(x)/(x-\mu_1)^{M_1-1}$ has a constant sign on the interval $(0, \mu_2)$ and that sign is $(-1)^{M_1-1}$.

Consider now the rational function $P_k/(x^{k+1}Q)$. It has poles of order k + 1 at the point zero and of order M_ν at points μ_ν , $\nu = 1, ..., M$. When x approaches the complex infinity,

we have $P_k/(x^{k+1}Q) = O(x^{-2})$. Applying the Cauchy residue theorem to the function $P_k/(x^{k+1}Q)$, over the contour which has in its interior $co\{0, \mu_1, \dots, \mu_M\}$, we have

$$\frac{1}{k!} \left(\frac{P_k}{Q}\right)^{(k)} \Big|_{x=0} = -\sum_{\nu=1}^M \operatorname{Res}_{x=\mu_\nu} \frac{P_k(x)}{x^{k+1}Q(x)}.$$
(3.5)

But since polynomial P_k is of the form (3.1), we know that $P_k^{(\nu)}(0) = \delta_{\nu,0}, \nu = 0, 1, \dots, k$. Using the Leibnitz rule, we get

$$\frac{1}{k!} \left(\frac{P_k}{Q}\right)^{(k)} \Big|_{x=0} = \frac{1}{k!} \sum_{\nu=0}^k \binom{k}{\nu} P^{(\nu)} (1/Q)^{(k-\nu)} \Big|_{x=0} = \frac{1}{k!} \left(\frac{1}{Q}\right)^{(k)} \Big|_{x=0} = Q_k.$$

Thus, for every polynomial P_k of the form (3.1), we have

$$\frac{1}{k!} \left(\frac{P_k}{Q}\right)^{(k)} \Big|_{x=0} = Q_k$$

Now, choose $P_k = P_k^*$, using (3.5), we have

$$Q_k = -\frac{1}{\mu_1^{k+1}} \frac{(x-\mu_1) P_k^*(x)}{Q(x)} \Big|_{x=\mu_1}.$$
(3.6)

This equation can be rewritten in the form

$$p_{k}^{n}(\boldsymbol{\sigma}_{n}) = \frac{\sigma_{n,n}^{k} Q_{k}}{Q_{0}} = -(-1)^{n} \frac{C\sigma_{n,n}^{k+1}}{\mu_{1}^{k+1}} \frac{P_{k}^{*}(x)}{(x-\mu_{1})^{M_{1}-1}} \frac{(x-\mu_{1})^{M_{1}}}{Q(x)} \Big|_{x=\mu_{1}}$$
$$= -(-1)^{n} \frac{\sigma_{n,n}^{k+1}}{\mu_{1}^{k+1}} \frac{P_{k}^{*}(x)}{(x-\mu_{1})^{M_{1}-1}} \Big|_{x=\mu_{1}} \frac{1}{\prod_{\nu=2}^{M} (\mu_{1}-\mu_{\nu})^{M_{\nu}}}.$$
(3.7)

Taking only the sign of the terms, from this equation we get

$$\operatorname{sgn}(p_k^n(\boldsymbol{\sigma}_n)) = -(-1)^n \operatorname{sgn}\left(\frac{P_k^*(x)}{(x-\mu_1)^{M_1-1}}\Big|_{x=\mu_1}\right) \frac{1}{\prod_{\nu=2}^M \operatorname{sgn}(\mu_1-\mu_\nu)^{M_\nu}} = -(-1)^n (-1)^{M_1-1} \prod_{\nu=2}^M (-1)^{M_\nu} = (-1)^{n+\sum_{\nu=1}^M M_\nu} = 1.$$

This proves inequality (2.4) in the case $M_1 \ge 2$.

In the case $M_1 = 1$, we consider the polynomials P_k of the following form:

$$P_k(x) = 1 + \int_0^x t^k \frac{Q(t)}{\prod_{\nu=1}^M (x - \mu_{\nu})} q(t) dt, \quad q \in \mathcal{P}_{M-2}.$$

Using the same arguments as in the case $M_1 \ge 2$, we can prove that there exist (uniquely) q^* and respective P_k^* , such that

$$P_k^*(\mu_{\nu}) = 1 + \int_0^{\mu_{\nu}} t^k \frac{Q(t)}{\prod_{\nu=1}^M (x - \mu_{\nu})} q^*(t) \, dt = 0, \quad \nu = 2, \dots, M.$$

Also, it is easy to show that all M - 2 zeros of q^* are contained in the intervals $(\mu_{\nu}, \mu_{\nu+1})$, $\nu = 2, ..., M - 1$, and that the polynomial P_k^* has the positive sign on the interval $(0, \mu_2)$. Using (3.5), we find

$$Q_k = -\frac{1}{\mu_1^{k+1}} \frac{P_k^*(\mu_1)}{Q'(\mu_1)},$$

which gives

$$\operatorname{sgn}(p_k^n(\boldsymbol{\sigma}_n)) = -(-1)^n \frac{1}{\prod_{\nu=2}^M \operatorname{sgn}(\mu_1 - \mu_\nu)^{M_\nu}} = (-1)^{n + \sum_{\nu=1}^M M_\nu} = 1,$$

where we used the fact that $M_1 = 1$. This proves (2.4), also for the case $M_1 = 1$. \Box

There is one simple generalization of Theorem 2.3. Suppose all zeros of the polynomial Q are bigger than ζ , i.e., $\zeta < \lambda_{\nu}$, $\nu = 1, ..., n$, then we have

$$Q(x) = C \prod_{\nu=1}^{n} (x - \lambda_{\nu}) = C \prod_{\nu=1}^{n} (x - \zeta - (\lambda_{\nu} - \zeta)) = C \prod_{\nu=1}^{n} (y - \lambda_{\nu}^{*}) = Q^{*}(y),$$

where we put $\lambda_{\nu}^* = \lambda_{\nu} - \zeta$, $\nu = 1, ..., n$, and $y = x - \zeta$. We can express elementary symmetric functions of the polynomial Q^* using elementary symmetric functions of the polynomial Q; we have

$$\sigma_{n,k}^* = \sum_{(i_1,\ldots,i_k)} \lambda_{i_1}^* \cdots \lambda_{i_k}^* = \sum_{(i_1,\ldots,i_k)} (\lambda_{i_1} - \zeta) \cdots (\lambda_{i_k} - \zeta),$$

i.e.,

$$\sigma_{n,k}^* = \sum_{j=0}^{k} (-1)^{k-j} {\binom{n-j}{k-j}} \zeta^{k-j} \sigma_{n,j}.$$
(3.8)

We can apply Theorem 2.3 to the polynomial Q^* , since $\lambda_{\nu}^* > 0$, $\nu = 1, ..., n$. Therefore,

$$p_k^n(\boldsymbol{\sigma}_n^*) > 0, \quad k \in \mathbb{N}_0$$

and

$$p_k^n(\boldsymbol{\sigma}_n^*) = \delta_{k,0} + (-1)^{k-1} \sum_{\nu=\max\{0,k-n\}}^{k-1} (-1)^{\nu} (\sigma_{n,n}^*)^{k-n-1} \sigma_{n,n-k}^* p_{\nu}^n(\boldsymbol{\sigma}_n^*),$$

where $\sigma_n^* = (\sigma_{n,0}^*, \dots, \sigma_{n,n}^*)$ and $\sigma_n = (\sigma_{n,0}, \dots, \sigma_{n,n})$. Using (3.8), we get

$$p_k^{n,\zeta}(\boldsymbol{\sigma}_n) = p_k^n(\boldsymbol{\sigma}_n^*) > 0$$

and the recurrence relation for the polynomials $p_k^{n,\zeta}(\boldsymbol{\sigma}_n)$ is given by

$$p_k^{n,\zeta}(\boldsymbol{\sigma}_n) = \delta_{k,0} + (-1)^{k-1} \sum_{\nu=\max\{0,k-n\}}^{k-1} (-1)^{\nu} \left(\sum_{j=0}^n (-1)^{n-j} \zeta^{n-j} \sigma_{n,j}\right)^{k-\nu-1}$$

$$\times \left(\sum_{j=0}^{n-k+\nu} (-1)^{n-k+\nu-j} {n-j \choose n-k+\nu-j} \zeta^{n-k+\nu-j} \sigma_{n,j} \right) p_{\nu}^{n,\zeta}(\boldsymbol{\sigma}_n).$$

$$(3.9)$$

We have proved the following result:

Theorem 3.1. If the zeros of the polynomial Q are bigger than ζ , then

$$p_k^{n,\zeta}(\boldsymbol{\sigma}_n) > 0, \quad k \in \mathbb{N}_0,$$

where the polynomials $p_k^{n,\zeta}(\boldsymbol{\sigma}_n)$, $k \in \mathbb{N}_0$, are generated using the recurrence (3.9).

In the case $\zeta = 0$, we have $p_k^{n,0}(\boldsymbol{\sigma}_n) = p_k^n(\boldsymbol{\sigma}_n)$.

4. Determinant representation of p_k^n

It is not surprising that our polynomials $p_k^n(\sigma_n)$ can be represented in a determinant form. Namely, we have the following result:

Theorem 4.1. *The polynomial* $p_k^n(\sigma_n)$, $k \in \mathbb{N}$, admits the following determinant representation:

where $\beta_{\nu} = (-1)^{\nu} \sigma_{n,n}^{\nu} \sigma_{n,n-\nu-1}, \nu = 0, 1, \dots, n-1.$

Proof. Introducing $\beta_{\nu} = (-1)^{\nu} \sigma_{n,n}^{\nu} \sigma_{n,n-\nu-1}$, $\nu = 0, 1, ..., n-1$, and $\beta_{-1} = -1$, the recurrence relation (2.3) becomes

$$\sum_{\nu=\max\{0,i-n\}}^{i}\beta_{i-\nu-1}p_{\nu}^{n}(\boldsymbol{\sigma}_{n})=-\delta_{i,0},\quad i\in\mathbb{N}_{0}.$$

For $i = 0, 1, ..., k, k \ge n$, it gives the following system of linear equations:

$$\beta_{-1} p_{0}^{n}(\sigma_{n}) = -1 \beta_{0} p_{0}^{n}(\sigma_{n}) + \beta_{-1} p_{1}^{n}(\sigma_{n}) = 0, \beta_{1} p_{0}^{n}(\sigma_{n}) + \beta_{0} p_{1}^{n}(\sigma_{n}) + \beta_{-1} p_{2}^{n}(\sigma_{n}) = 0, \vdots \beta_{n-1} p_{0}^{n}(\sigma_{n}) + \beta_{n-2} p_{1}^{n}(\sigma_{n}) + \dots + \beta_{-1} p_{n}^{n}(\sigma_{n}) = 0, \\ \vdots \\ \beta_{n-1} p_{k-n}^{n}(\sigma_{n}) + \beta_{n-2} p_{k-n+1}^{n}(\sigma_{n}) + \dots + \beta_{-1} p_{k}^{n}(\sigma_{n}) = 0.$$

Since the determinant of this system is equal to $(\beta_{-1})^k = (-1)^k \neq 0$, we can solve it for $p_k^n(\sigma_n)$ using Cramer's rule, which leads to the following determinant representation:

Expanding this determinant with respect to the last column, we get (4.1). \Box

5. Special cases

5.1. Case of a single zero of multiplicity n

Suppose that polynomial Q has a single zero λ_1 of multiplicity n, i.e., let $Q(x) = (x - \lambda_1)^n$. In this special case, the elementary symmetric functions $\sigma_{n,k}$ have the following values:

$$\sigma_{n,k} = \binom{n}{k} \lambda_1^k, \quad k \in \mathbb{N}_0,$$

which is verified easily recalling the definition of the elementary symmetric functions and recalling the number of combinations of n elements of kth class.

Theorem 5.1. If the polynomial Q has a single zero λ_1 of multiplicity n, then

$$p_k^n(\boldsymbol{\sigma}_n) = \binom{k+n-1}{n-1} \lambda_1^{k(n-1)}.$$

Proof. Obviously, for k = 0, there is nothing to prove since our statement becomes

$$p_0^n(\boldsymbol{\sigma}_n) = {\binom{0+n-1}{n-1}} \lambda_1^{0(n-1)} = 1.$$

Thus, we assume k > 0. If we replace values for $p_k^n(\sigma_n)$ given in the statement into (2.3), we get

$$\binom{k+n-1}{n-1} = (-1)^{k-1} \sum_{\nu=\max\{0,k-n\}}^{k-1} (-1)^{\nu} \binom{n}{n-k+\nu} \binom{\nu+n-1}{n-1},$$

which can be reduced to

$$\sum_{\nu=\max\{0,k-n\}}^{k} (-1)^{\nu} \binom{n}{k-\nu} \binom{\nu+n-1}{n-1} = 0.$$
(5.1)

Using an expansion of the geometric series, it is easy to verify the following expansion:

$$\frac{(1+x)^n}{(n-1)!}\frac{d^{n-1}}{dx^{n-1}}\left(\frac{x^{n-1}}{1+x}\right) = \sum_{\ell=0}^{+\infty} x^\ell \sum_{\nu=\max\{0,\ell-n\}}^{\ell} (-1)^\nu \binom{n}{\ell-\nu} \binom{\nu+n-1}{n-1}.$$
 (5.2)

However, using the Bézout's theorem, we get

$$\frac{d^{n-1}}{dx^{n-1}}\left(\frac{x^{n-1}}{1+x}\right) = \frac{d^{n-1}}{dx^{n-1}}\left(r_{n-2} + \frac{(-1)^{n-1}}{1+x}\right) = \frac{d^{n-1}}{dx^{n-1}}\left(\frac{(-1)^{n-1}}{1+x}\right) = \frac{(n-1)!}{(1+x)^n},$$

since r_{n-2} is a polynomial of degree n-2. Using this fact, (5.2) is transformed into

$$1 = \sum_{\ell=0}^{+\infty} x^{\ell} \sum_{\nu=\max\{0,\ell-n\}}^{\ell} (-1)^{\nu} \binom{n}{\ell-\nu} \binom{\nu+n-1}{n-1},$$

which means that all coefficients with x^{ℓ} , $\ell \in \mathbb{N}$, on the right-hand side must be zero, i.e., (5.1) holds for $k \in \mathbb{N}$. \Box

5.2. *Case* n = 2

In the case n = 2, we already stated Theorem 2.4 in Section 2. We give now a proof of this theorem.

Proof of Theorem 2.4. In the case n = 2, the representation (4.1) reduces to a determinant of a tridiagonal matrix. Namely,

$$p_k^2(\boldsymbol{\sigma}_2) = \begin{vmatrix} \beta_0 & -1 & & O \\ \beta_1 & \beta_0 & -1 & \\ & \beta_1 & \beta_0 & \ddots \\ & & \ddots & \ddots & -1 \\ O & & & \beta_1 & \beta_0 \end{vmatrix},$$

where $\beta_0 = \sigma_{2,1}$ and $\beta_1 = -\sigma_{2,2}\sigma_{2,0}$.

Using the well-known relation for determinants of the tridiagonal matrices (see [9]), we have the following recurrence $p_k^2(\sigma_2) = \beta_0 p_{k-1}^2(\sigma_2) + \beta_1 p_{k-2}^2(\sigma_2)$, i.e.,

$$p_k^2(\boldsymbol{\sigma}_2) = \sigma_{2,1} p_{k-1}^2(\boldsymbol{\sigma}_2) - \sigma_{2,2} \sigma_{2,0} p_{k-2}^2(\boldsymbol{\sigma}_2), \quad k > 2.$$
(5.3)

The rest of the proof goes inductively. Namely, we suppose that

$$p_{k-1}^{2}(\boldsymbol{\sigma}_{2}) = \sum_{\nu=0}^{[(k-1)/2]} a_{2,\nu}^{k-1} \sigma_{2,1}^{k-1-2\nu} (\sigma_{2,0}\sigma_{2,2})^{\nu} \text{ and}$$
$$p_{k-2}^{2}(\boldsymbol{\sigma}_{2}) = \sum_{\nu=0}^{[(k-2)/2]} a_{2,\nu}^{k-2} \sigma_{2,1}^{k-2-2\nu} (\sigma_{2,0}\sigma_{2,2})^{\nu}.$$

Then, using (5.3), we find

$$p_{k}^{2}(\boldsymbol{\sigma}_{2}) = \sum_{\nu=0}^{[(k-1)/2]} a_{2,\nu}^{k-1} \sigma_{2,1}^{k-2\nu} (\sigma_{2,0}\sigma_{2,2})^{\nu} - \sum_{\nu=0}^{[(k-2)/2]} a_{2,\nu}^{k-2} \sigma_{2,1}^{k-2-2\nu} (\sigma_{2,0}\sigma_{2,2})^{\nu+1}$$
$$= a_{2,0}^{k-1} \sigma_{2,1}^{k} + \sum_{\nu=1}^{[(k-1)/2]} (a_{2,\nu}^{k-1} - a_{2,\nu-1}^{k-2}) \sigma_{2,1}^{k-2\nu} (\sigma_{2,2}\sigma_{2,0})^{\nu}$$
$$- \frac{1}{2} (1 + (-1)^{k}) a_{2,[(k-2)/2]}^{k-2} \sigma_{2,1}^{k-2[k/2]} (\sigma_{2,2}\sigma_{2,0})^{[k/2]},$$

and using this relation, we get the relations (2.6). It is easy to check that p_1^2 and p_2^2 have representations as it is stated in this theorem.

To prove the last relation, we can check directly

$$(-1)^{\nu}\binom{k-\nu}{\nu} = (-1)^{\nu}\binom{k-1-\nu}{\nu} - (-1)^{\nu-1}\binom{k-1-\nu}{\nu-1},$$

which, after dividing by $(-1)^{\nu}$, becomes the basic binomial identity (see [9, p. 53]).

5.3. Cases k = 3, 4, 5, 6

In this subsection we present special cases for k = 3, 4, 5, 6 and *n* arbitrary. The case k = 2 is already known in the literature (see [10, p. 73]) and we present it for completeness, hence, for k = 2 we have

$$p_2^n(\boldsymbol{\sigma}_n) = \sigma_{n,n-1}^2 - \sigma_{n,n}\sigma_{n,n-2} > 0, \quad n \in \mathbb{N}.$$

For bigger values of k we can use some computer algebra, for example *Mathematica*, *Maple*, to construct the polynomials $p_k^n(\sigma_n)$. We present our results in the following statement.

Theorem 5.2. We have

$$p_{3}^{n}(\boldsymbol{\sigma}_{n}) = \sigma_{n,n-1}^{3} - 2\sigma_{n,n-2}\sigma_{n,n-1}\sigma_{n,n} + \sigma_{n,n-3}\sigma_{n,n}^{2},$$

$$p_{4}^{n}(\boldsymbol{\sigma}_{n}) = \sigma_{n,n-1}^{4} - 3\sigma_{n,n-2}\sigma_{n,n-1}^{2}\sigma_{n,n} + \sigma_{n,n-2}^{2}\sigma_{n,n}^{2} + 2\sigma_{n,n-3}\sigma_{n,n-1}\sigma_{n,n}^{2}$$

$$-\sigma_{n,n-4}\sigma_{n,n}^{3},$$

$$p_{5}^{n}(\boldsymbol{\sigma}_{n}) = \sigma_{n,n-1}^{5} - 4\sigma_{n,n-2}\sigma_{n,n-1}^{3}\sigma_{n,n} + 3\sigma_{n,n-2}^{2}\sigma_{n,n-1}\sigma_{n,n}^{2} + 3\sigma_{n,n-3}\sigma_{n,n-1}^{2}\sigma_{n,n}^{2}$$

$$- 2\sigma_{n,n-3}\sigma_{n,n-2}\sigma_{n,n}^{3} - 2\sigma_{n,n-4}\sigma_{n,n-1}\sigma_{n,n}^{3} + \sigma_{n,n-5}\sigma_{n,n}^{5},$$

$$p_{6}^{n}(\boldsymbol{\sigma}_{n}) = \sigma_{n,n-1}^{8} - 5\sigma_{n,n-2}\sigma_{n,n-1}^{4}\sigma_{n,n} + 6\sigma_{n,n-2}^{2}\sigma_{n,n-1}^{2}\sigma_{n,n}^{2} + 4\sigma_{n,n-3}\sigma_{n,n-1}^{3}\sigma_{n,n}^{2} - \sigma_{n,n-2}^{3}\sigma_{n,n}^{3} - 6\sigma_{n,n-3}\sigma_{n,n-2}\sigma_{n,n-1}\sigma_{n,n}^{3} - 3\sigma_{n,n-4}\sigma_{n,n-4}^{2}\sigma_{n,n}^{3} + \sigma_{n,n-3}^{2}\sigma_{n,n}^{4} + 2\sigma_{n,n-4}\sigma_{n,n-2}\sigma_{n,n}^{4} + 2\sigma_{n,n-5}\sigma_{n,n-1}\sigma_{n,n}^{4} - \sigma_{n,n-6}\sigma_{n,n}^{5},$$

for any $n \in \mathbb{N}$.

Proof. Direct calculation. \Box

6. Application to the theory of orthogonal polynomials

Here we want to present an inspiration for our result. Suppose that we have a linear functional \mathcal{L} acting on the space of all algebraic polynomials \mathcal{P} . The moments of the functional \mathcal{L} are given by $m_k = \mathcal{L}(x^k)$, $k \in \mathbb{N}_0$. Suppose that the sequence of moments satisfies the following recurrence relation:

$$\sum_{\nu=0}^{k} \frac{m_{\nu}}{\nu!} \sum_{\ell=-j}^{j} a_{\ell} \frac{((\ell+\alpha)h)^{k-\nu}}{(k-\nu)!} = \delta_{k,0}, \quad k \in \mathbb{N}_{0},$$
(6.1)

where h, α and $a_{\ell}, \ell = -j, \dots, j$, are arbitrary real numbers.

Let a polynomial Q of degree n = 2j be defined by

$$Q(x) = \sum_{\ell=-j}^{j} a_{\ell} x^{\ell+j}$$

and such that all its zeros be positive and different from 1. Hence, we can request that this polynomial Q is normalized such that Q(1) = 1.

We are interested in a representation of the linear functional \mathcal{L} . It is known (see [2]) that every linear functional \mathcal{L} acting on the space of all polynomials can be represented with a function of the bounded variation ϕ using Stieltjes–Lebesgue integral

$$\mathcal{L}(p) = \int_{\mathbb{R}} p(x) \, d\phi(x), \quad p \in \mathcal{P}.$$

Under a condition that \mathcal{L} is positive definite, the function ϕ is nondecreasing. For the special case when zeros of Q are bigger than 1, we can state that the respective linear functional \mathcal{L} is positive definite. Moreover, we can reconstruct the measure which represents it. Thus, we have the following result:

Theorem 6.1. If all zeros λ_{ν} , $\nu = 1, ..., n$, counting multiplicities, of the polynomial Q are bigger than 1, then the linear functional \mathcal{L} admits the representation

$$\mathcal{L}(p) = \sum_{i=0}^{+\infty} Q_i p((i+j-\alpha)h),$$

where

$$Q_i = \frac{1}{i!} \frac{d^i}{dx^i} \left(\frac{1}{Q(x)} \right) \Big|_{x=0}, \quad i \in \mathbb{N}_0.$$

The functional \mathcal{L} is positive definite.

Proof. Denote by *f* the generating function for the sequence of moments m_k , $k \in \mathbb{N}_0$, i.e., the function

$$f(u) = \sum_{k=0}^{+\infty} \frac{m_k}{k!} u^k.$$

The recurrence relation (6.1) for the moments has as a consequence the following relation:

$$f(u)Q(\exp(hu)) = \exp((j-\alpha)hu).$$

As it is well known, we can expand the rational function 1/Q(x) into the partial fraction decomposition

$$\frac{1}{Q(x)} = \sum_{\nu=1}^{M} \sum_{\ell=1}^{M_{\nu}} \frac{Q_{\nu,\ell}}{(x-\mu_{\nu})^{\ell}},$$
(6.2)

where we assume that *Q* has *M* distinct zeros μ_v with the multiplicities M_v , v = 1, ..., M. Using this partial fraction decomposition, the expansion of the geometric series and the expansion of the function $\exp(x)$, we have for *u* sufficiently close to zero

$$f(u) = \sum_{\nu=1}^{M} \sum_{\ell=1}^{M_{\nu}} \frac{Q_{\nu,\ell} \exp((j-\alpha)hu)}{(\exp(hu) - \mu_{\nu})^{\ell}}$$

=
$$\sum_{\nu=1}^{M} \sum_{\ell=1}^{M_{\nu}} \frac{Q_{\nu,\ell}}{(-\mu_{\nu})^{\ell}} \sum_{i=0}^{+\infty} {\ell+i-1 \choose i} \frac{1}{\mu_{\nu}^{i}} \sum_{k=0}^{+\infty} \frac{((i+j-\alpha)h)^{k}}{k!} u^{k}$$

=
$$\sum_{k=0}^{+\infty} \frac{u^{k}}{k!} \sum_{i=0}^{+\infty} ((i+j-\alpha)h)^{k} \sum_{\nu=1}^{M} \sum_{\ell=1}^{M_{\nu}} (-1)^{\ell} {\ell+i-1 \choose i} \frac{Q_{\nu,\ell}}{\mu_{\nu}^{\ell+i}}.$$

Now, from this equation we can identify the moments as

$$m_{k} = \sum_{i=0}^{+\infty} \left((i+j-\alpha)h \right)^{k} \sum_{\nu=1}^{M} \sum_{\ell=1}^{M_{\nu}} (-1)^{\ell} \binom{\ell+i-1}{i} \frac{Q_{\nu,\ell}}{\mu_{\nu}^{\ell+i}}, \quad k \in \mathbb{N}_{0}.$$

On the other side, from (6.2), we have

$$Q_{i} = \frac{1}{i!} \frac{d^{i}}{dx^{i}} \left(\frac{1}{Q(x)}\right) \Big|_{x=0} = \sum_{\nu=1}^{M} \sum_{\ell=1}^{M_{\nu}} (-1)^{\ell} {\ell+i-1 \choose i} \frac{Q_{\nu,\ell}}{\mu_{\nu}^{\ell+i}}$$

so that we can interpret our linear functional in the following form:

$$\mathcal{L}(p) = \sum_{i=0}^{+\infty} Q_i p((i+j-\alpha)h).$$

Since we know that $\sigma_{n,n}^i Q_i = p_i^n(\sigma_n)Q_0$, according to Lemma 2.2, and since

$$Q_0 = \prod_{\nu=1}^n \frac{-\lambda_{\nu}}{1-\lambda_{\nu}} > 0,$$

using Theorem 2.3, we conclude that $Q_i > 0$ and our functional \mathcal{L} is positive definite. \Box

Of course, since our result cannot depend on the order of enumeration of the coefficients a_{ℓ} , $\ell = -j, \ldots, j$, of the polynomial Q, if all zeros of Q are positive and smaller than 1, then we can change the order of enumeration of the coefficients a_{ℓ} , $\ell = -j, \ldots, j$, and have all zeros of such Q bigger than 1. However, we need to change the sign of h and α also. Now, our representation Theorem 6.1 is applicable, so that we have the following corollary.

Corollary 6.2. If all zeros λ_{ν} , $\nu = 1, ..., n$, counting multiplicities, of the polynomial Q are positive and smaller than 1, then the linear functional \mathcal{L} admits the representation

$$\mathcal{L}(p) = \sum_{i=0}^{+\infty} \mathcal{Q}_i^* p \big(-(i+j+\alpha)h \big),$$

where

$$Q_i^* = \frac{1}{i!} \frac{d^i}{dx^i} \left(\frac{1}{x^n Q(1/x)} \right) \Big|_{x=0}, \quad i \in \mathbb{N}_0.$$

The functional \mathcal{L} *is positive definite.*

Using the same arguments, we can state the similar results provided a sequence of moments satisfies the following recurrence relation:

$$\sum_{\nu=0}^{k} \frac{m_{\nu}}{\nu!} \sum_{\ell=-j}^{j-1} a_{\ell} \frac{((\ell+\alpha+1/2)h)^{k-\nu}}{(k-\nu)!} = \delta_{k,0}, \quad k \in \mathbb{N}_{0}.$$
(6.3)

Then, we define polynomial Q in the following way:

$$Q(x) = \sum_{\ell=-j}^{j-1} a_\ell x^{\ell+j}.$$

Obviously, the polynomial Q has degree n = 2j - 1. Using the same arguments as before, we can state the following result:

Theorem 6.3. If all zeros λ_{ν} , $\nu = 1, ..., n$, counting multiplicities, of the polynomial Q are bigger than 1, then the linear functional \mathcal{L} admits the representation

$$\mathcal{L}(p) = \sum_{i=0}^{+\infty} Q_i p\bigl((i+j-\alpha-1/2)h\bigr),$$

where

$$Q_i = \frac{1}{i!} \frac{d^i}{dx^i} \left(\frac{1}{Q(x)} \right) \Big|_{x=0}, \quad i \in \mathbb{N}_0.$$

The functional \mathcal{L} *is positive definite.*

For the case when all zeros are positive and smaller than 1, we have the following corollary:

Corollary 6.4. If all zeros λ_{ν} , $\nu = 1, ..., n$, counting multiplicities, of the polynomial Q are positive and smaller than 1, then the linear functional \mathcal{L} admits the representation

$$\mathcal{L}(p) = \sum_{i=0}^{+\infty} Q_i^* p \Big(-(i+j+\alpha-1/2)h \Big),$$

where

$$Q_i^* = \frac{1}{i!} \frac{d^i}{dx^i} \left(\frac{1}{x^n Q(1/x)} \right) \Big|_{x=0}, \quad i \in \mathbb{N}_0$$

The functional \mathcal{L} is positive definite.

As an illustrative example, we consider the case when the polynomial Q has only one zero λ_1 with multiplicity M_1 (in this case, of course, $n = M_1$). Since the polynomial Q is normalized (Q(1) = 1), we have

$$Q(x) = \left(\frac{x - \lambda_1}{1 - \lambda_1}\right)^{M_1}.$$

Now, from this equation we can read

$$a_{\ell} = \frac{(-1)^{\ell+j}}{(1-\lambda_1)^{M_1}} {M_1 \choose \ell+j} \lambda_1^{\ell+j}, \quad \ell = -j, \dots, [M_1/2],$$

where $j = [(M_1 + 1)/2]$. So that moments satisfy the following recurrence relation

$$\sum_{\nu=0}^{k} \frac{m_{\nu}}{\nu!} \sum_{\ell=-j}^{[M_{1}/2]} a_{\ell} \frac{((\ell+\alpha+j-M_{1}/2)h)^{k-\nu}}{(k-\nu)!} = \delta_{k,0}, \quad k \in \mathbb{N}_{0}.$$

Assuming $\lambda_1 > 1$, we know, according to Theorems 6.1 and 6.3, that the sequence of moments can be represented as a sequence of moments of the linear functional \mathcal{L} of the following form:

$$\mathcal{L}(p) = \sum_{i=0}^{+\infty} Q_i p((i - \alpha + M_1/2)h), \qquad Q_i = \frac{(M_1)_i}{i!} \frac{(\lambda_1 - 1)^{M_1}}{\lambda_1^{M_1 + i}}.$$

It is easy to see that the functional \mathcal{L} is positive definite as we expect according to the previous theorems.

It can be checked easily that this linear functional coincides with the Meixner linear functional of the first kind (see [2]). Actually, the Meixner polynomials of the first kind (see [2, p. 161]) are orthogonal with respect to the linear functional

$$\mathcal{L}^{M}(p) = \sum_{i=0}^{+\infty} p(i) \frac{c^{i}(\beta)_{i}}{i!}, \quad p \in \mathcal{P}, \ c \in (0, 1), \ \beta > 0.$$

Our linear functional \mathcal{L} coincides with this one if we choose $c = 1/\lambda_1$, $\beta = M_1$, $\alpha = 0$, h = 1 and if we apply the shift for $M_1/2$.

The case $\lambda_1 \in (0, 1)$, applying the Corollaries 6.2 and 6.4, leads again to the Meixner polynomials of the first kind which are orthogonal with respect to the linear functional

$$\mathcal{L}^{M}(p) = \sum_{i=0}^{+\infty} p(-(i+\beta)) \frac{c^{-i}(\beta)_{i}}{i!}, \quad p \in \mathcal{P}, \ c > 1, \ \beta > 0.$$

where we need to choose $c = 1/\lambda_1$, $\beta = M_1$, h = 1 and again we need to shift for $M_1/2$.

This gives directly the following result:

Theorem 6.5. Assume that a sequence of moments m_k , $k \in \mathbb{N}_0$, satisfies the recurrence relation (6.1) or (6.3), with zeros of Q, being all bigger than 1 or positive and smaller than 1. Then, there exists a sequence of polynomials orthogonal with respect to the corresponding linear functional \mathcal{L} .

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