

Recent developments on Laplacian spectra of graphs

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May 18-22, 2016

SPECTRA OF GRAPHS AND APPLICATIONS
A conference in honor of Dragoš Cvetković



Report some results about the distribution of Laplacian eigenvalues of Graphs.

We apply some of the results to attack problems in SGT.

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Preliminary discussion

The *Laplacian matrix* of G is $L_G = D - A$, where $D = [d_{ij}]$ is the diagonal matrix in which $d_{ii} = \deg(v_i)$, the degree of v_i .

Its eigenvalues are called the *Laplacian eigenvalues* of G :

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n = 0.$$

They all lie between 0 and n

When is a Laplacian eigenvalue small (or large)?

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Setting



Laplacian Eigenvalues are small (large) w.r.t. a parameter d

Possible choices for d : 1, 2

A possible kind of result:

$$g(G) \leq m_G[0, d] \leq f(G)$$

$$g(G) \leq m_G[d, n] \leq f(G)$$

Possible choices for d : diameter, matching number, average degree, domination number

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A tool

We start with a tool developed in a series of papers, in collaboration with David Jacobs.

This algorithmic tool allows one to tell the number of eigenvalues (not necessarily Laplacian) of a graph in an interval.

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Recall two matrices R and S are *congruent* if there exists a nonsingular matrix P with $R = P^T S P$.

Theorem (Sylvester's Law of Inertia)

Two $n \times n$ real symmetric matrices are congruent if and only if they have the same number of positive eigenvalues and the same number of negative eigenvalues.

General idea: Design a $O(n)$ algorithm `Diagonalize`, so that on input A , an $n \times n$ matrix, and $x \in \mathbb{R}$, `Diagonalize(A, x)` outputs a diagonal matrix D congruent to $B_x = A + xI$.

Let L be the Laplacian matrix of a graph G and $D = \text{Diagonalize}(L, -x)$.

- The number of positive entries of D is the number of eigenvalues of L greater than x .*
- The number of negative entries of D is the number of eigenvalues of L less than x .*
- The number of zero entries of D is the multiplicity of x .*

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Corollary

The number of eigenvalues in an interval $(\alpha, \beta]$, counting multiplicities, is the number of positive entries in the diagonalization of $B_{-\alpha}$, minus the number of positive entries in the diagonalization of $B_{-\beta}$.

... in two calls to Diagonalize, we can determine how many eigenvalues lie in an interval.

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The algorithm works *bottom up*.

$$\begin{bmatrix} & & & & u & v & 0 & \cdots & 0 \\ & & & & \vdots & \vdots & & & \\ & & & & u & v & & & \\ u & \cdots & u & x & v & \vdots & & & \vdots \\ v & \cdots & v & v & \alpha & 0 & & & 0 \\ 0 & & & & 0 & \delta_{m+1} & \cdots & & 0 \\ \vdots & & & & \vdots & & \ddots & & \vdots \\ 0 & & & & 0 & \cdots & 0 & & \delta_n \end{bmatrix}.$$

A Tool

Diagonalization

Let T be a tree whose vertices are ordered in such a way that if v_i is child of v_j then $i < j$.

We store each vertex v , its diagonal value $d(v)$.
Initially, $d(v) \leftarrow d(v) + x$, for all $v \in V$.

The algorithm processes the vertices bottom-up, performing Gaussian elimination.

When the algorithm finishes, we count negative, zero and positive values.

Which equal the number of eigenvalues larger than, equal and smaller than $-x$.

This is the algorithm

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Input: tree T , scalar α

Output: diagonal matrix D congruent to $L(T) + \alpha I$

Algorithm Diagonalize(T, α)

initialize $a(v) := d(v) + \alpha$, for all vertices v

order vertices bottom up

for $k = 1$ to n

if v_k is a leaf **then** continue

else if $a(c) \neq 0$ for all children c of v_k **then**

$a(v_k) := a(v_k) - \sum \frac{1}{a(c)}$, summing over all children of v_k

else

 select one child v_j of v_k for which $a(v_j) = 0$

$d(v_k) := -\frac{1}{2}$

$d(v_j) := 2$

if v_k has a parent v_l , remove the edge $v_k v_l$.

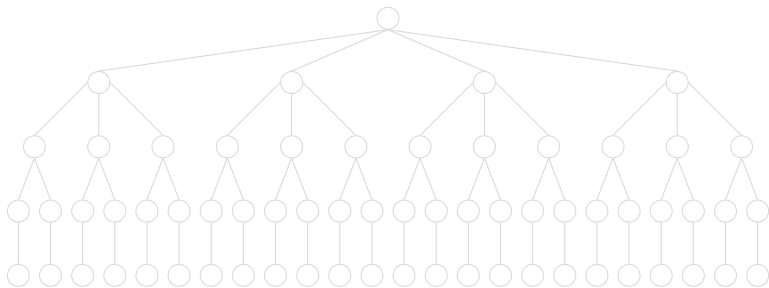
end loop

Figure: Diagonalizing $L(T) + \alpha I$.

A Tool

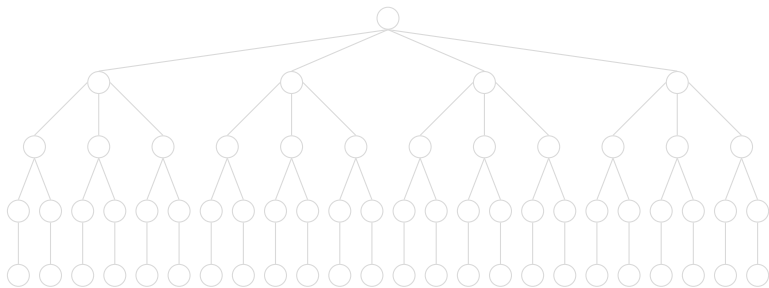
Example

Here is a tree on $n = 65$ vertices that will be used later.



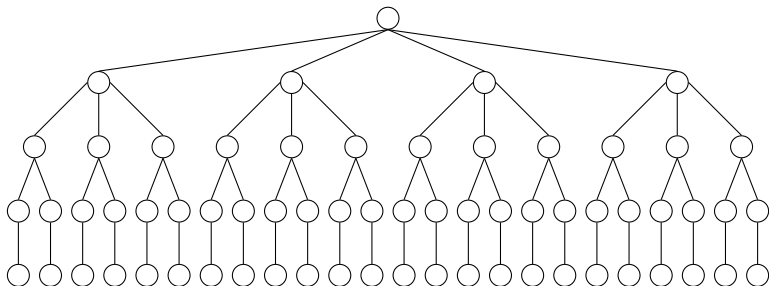
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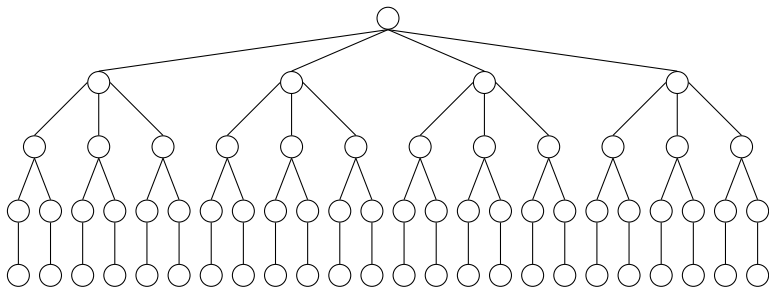
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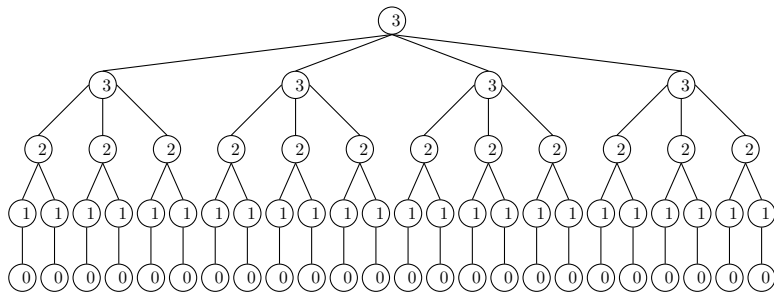
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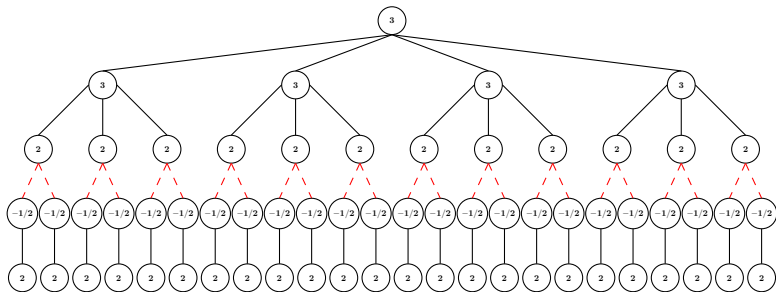
Applying Diagonalization algorithm

Initialization: each node v is assigned $\deg(v) - 1$



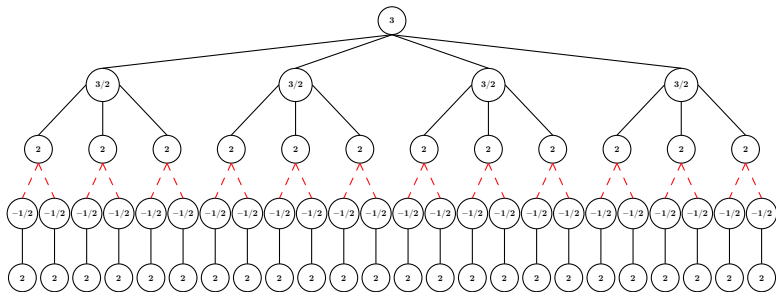
Depth 3 vertices are processed

Zero child rule applies and parent edge removed



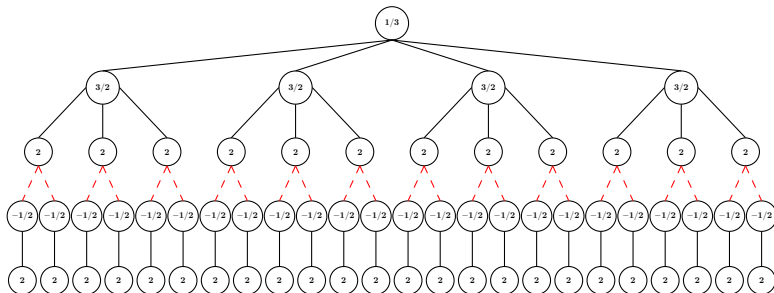
Depth 1 processed

$$\frac{3}{2} = 3 - 3 \cdot \frac{1}{2}$$



After root is processed

$\frac{1}{3} = 3 - 4 \cdot \frac{2}{3}$. Diagonal has 24 negative numbers, so $m_T[0, 1) = 24$.



Relation with average degree

A classical result

Theorem (Merris, 1991)

For G connected with longest path of size l ,

$$m_G(2, n] \geq \lfloor l/2 \rfloor.$$

In particular, for trees,

Theorem (Grone, Merris, Sunder, 1990)

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Average degree - Trees

We believe most Laplacian eigenvalues of trees are small

Quantifying this: Most eigenvalues are smaller than the average degree

Conjecture

For a tree T of order n its average degree is $\bar{d} = 2 - \frac{2}{n}$.

$$m_T[0, \bar{d}) \geq \lceil n/2 \rceil.$$

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Each pendant value is assigned to $1 - \bar{d} < 0$

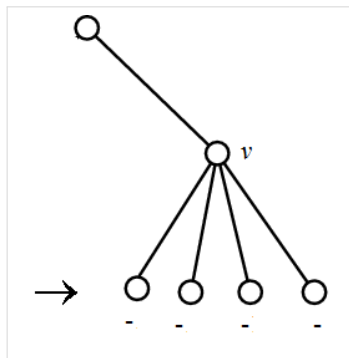


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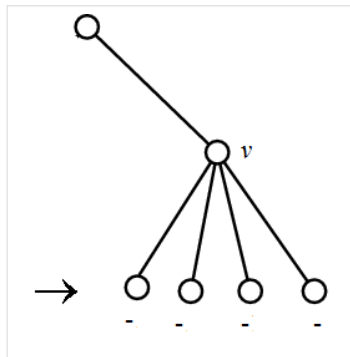


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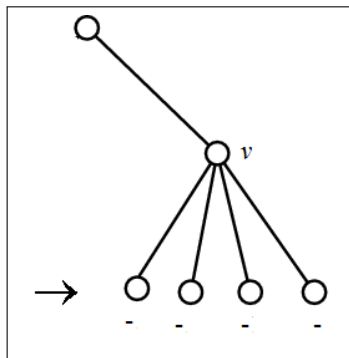


Figure: all pendant are negative

Follows the following result:

Theorem

Let T be a tree with n vertices and $p(T)$ pendant vertices. Then

$$m_T[0, \bar{d}] \geq p(T).$$

The conjecture is true for

- Trees with many leaves ($\geq n/2$).
- Caterpillars.
- Paths.
- Trees of diameter ≤ 4 .

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Proof Idea

Theorem (Mohar, 2007)

Every tree can be transformed into a path by a series of π transforms.

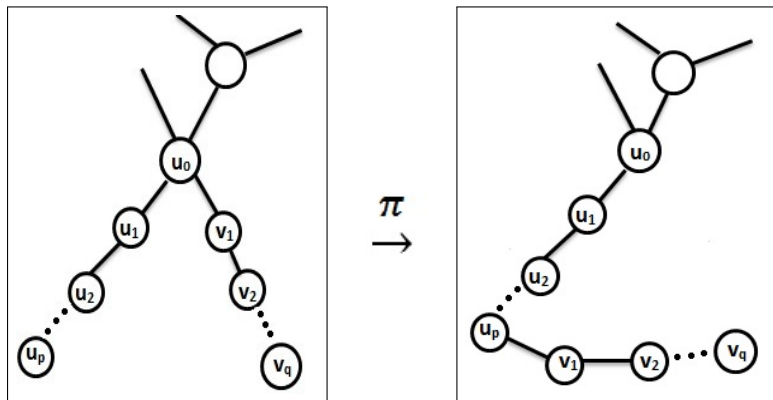


Figure: The transformation π .

Relation with Average Degree

Proof Idea

We apply the algorithm with $\alpha = -2$ and show that

$$m_T[0, 2) \geq m_{\bar{T}}[0, 2).$$

Hence

$$m_T[0, 2) \geq m_{P_n}[0, 2).$$

Now we prove that

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From $m_T[0, 2) \geq \lceil \frac{n}{2} \rceil$ to $m_T[0, 2 - \frac{2}{n}) \geq \lceil \frac{n}{2} \rceil$

There are trees with eigenvalues between 2 and $2 - \frac{2}{n}$.

Filling the gap from 2 to $2 - \frac{2}{n}$ seems hard.

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Relation with Domination Number

Definitions

A set $S \subseteq V$ is a *dominating* if every vertex $v \in V - S$ is adjacent to at least one member of S .

We let $\gamma = \gamma(G)$ denote the cardinality of a dominating set of smallest size.

The decision problem for γ is NP-complete, even for planar graphs.

Relation with Domination Number

Our result

Theorem (Hedetniemi, Jacobs and T. (2016))

If G is a graph with domination number γ , then $m_G[0, 1] \leq \gamma$.

The number of Laplacian eigenvalues smaller than 1 is bounded by the domination number γ

Easy to see inequality is tight: $m_G[0, 1] \leq \gamma$ is not true.
Consider path the star S_n . $\gamma(S_n) = 1$ and Laplacian spectrum $0, 1^{n-2}, n$, so $m_T[0, 1] = n - 1$.

Corollary

If G has order n then $m_G[1, n] \geq n - \gamma$.

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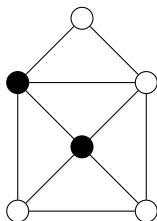
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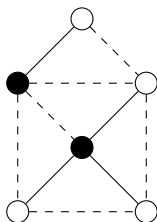
If G has order n then $m_G[1, n] \geq n - \gamma$.

Relation with Domination Number

Idea of proof

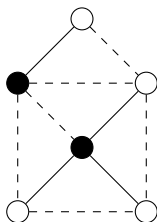


Choose minimum dominating set S in which each member has an *external private neighbor*. Bollabas and Cockayne (1979).



Construct γ disjoint stars whose centers come from S .

Each star has 1 eigenvalue smaller than 1.

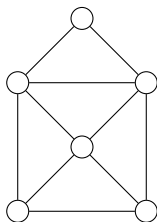


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Relation with Domination Number

Idea of proof



Adding edges can't increase $m_G[0, 1)$.

For many graphs, $m_G[0, 1)$ and γ are equal or close.

Theorem

For connected threshold graphs G , $m_G[0, 1) = \gamma$.

Theorem

For complete bipartite graphs G , $m_G[0, 1) = \gamma - 1$.

Theorem

If P_n is the path on n vertices then $m_{P_n}[0, 1) = \gamma = \lceil \frac{n}{3} \rceil$.

Theorem

For C_n the cycle on n vertices,

$$m_{C_n}[0, 1) = \begin{cases} \gamma & \text{if } n \equiv 1, 2, 3 \pmod{6} \\ \gamma - 1 & \text{if } n \equiv 0, 4, 5 \pmod{6} \end{cases}$$

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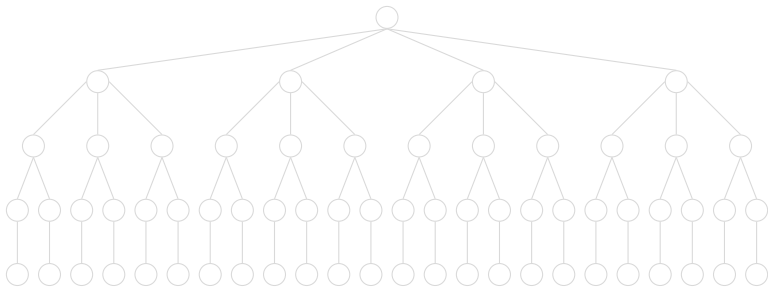
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But here is a tree on $n = 65$ vertices where $m_T[0, 1) = \gamma(T) - 1$.



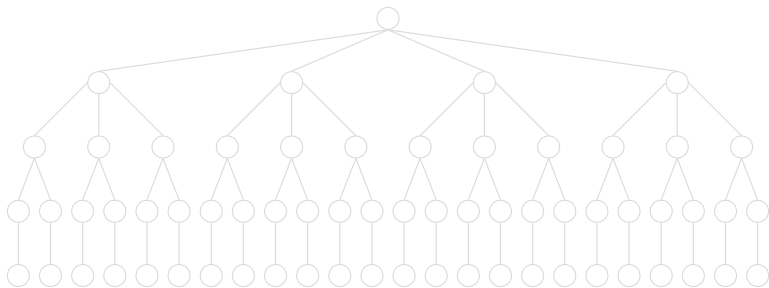
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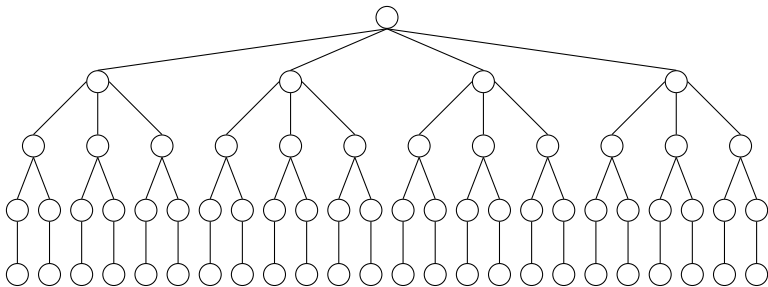
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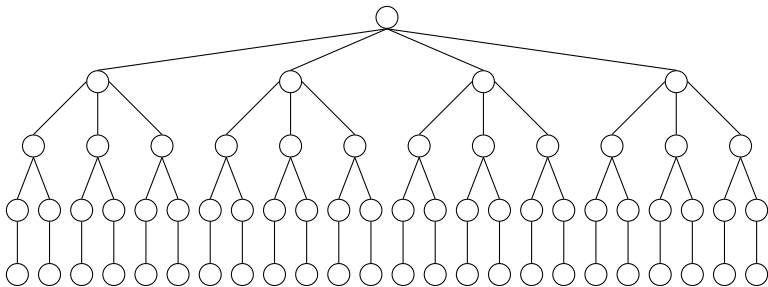
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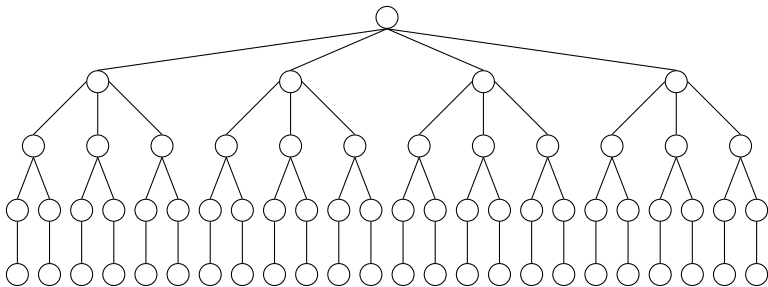
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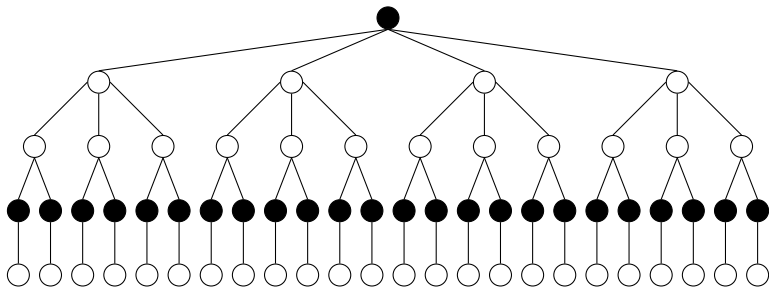
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Minimum dominating set algorithm for trees

Cockayne, Goodman, and Hedetniemi, Info. Proc. Lett. 1975

$$\gamma = 25$$



Relation with Domination Number

remarks

- * Is there a smaller tree T for which $m_T[0, 1) < \gamma(T)$?
- * For what graphs are these numbers close?
- * For tree T , is $m_T[0, 1) = \gamma(T)$ or $m_T[0, 1) = \gamma(T) - 1$?

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Sum of eigenvalues

Definition

For a graph G with Laplacian eigenvalues

$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n = 0$, let, for $k \in \{1, \dots, n\}$

$$S_k = \sum_{i=1}^k \lambda_i.$$

Good estimates for S_k are important for (at least) two reasons

The famous (hard) Brouwer's conjecture:

$$S_k \leq |E| + \binom{k+1}{2}.$$

Relation to Laplacian energy:

$$LE(G) = \sum_{i=1}^n \left| \lambda_i - \frac{2m}{n} \right|.$$

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Easy to see that

$$LE(G) = 2S_\sigma - 2\sigma\bar{d}.$$

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Laplacian energy of trees

Conjecture (Radenković, Gutman, 2007)

For a tree T of order n ,

$$LE(P_n) \leq LE(T) \leq LE(S_n).$$

The right side is true:

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The star S_n has largest Laplacian energy among all trees on n vertices.

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Proof idea

Obtain the bound

$$S_k \leq (n-1) + 2k - 1 - \frac{2k-2}{n}.$$

(The proof has many technicalities)

Apply the bound to $LE(T)$:

$$LE(T) = 2\sigma - 2\sigma(2 - 2/n) \leq \dots \leq 2n - 4 + \frac{4}{n} = LE(S_n).$$

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Energy and diameter of trees

Trees of small diameter have large Laplacian energy

Can we order trees by Laplacian energy?

Theorem (Fritscher, Hoppen, Rocha, T, 2014)

After the star, the k trees with largest Laplacian energy are the diameter 3-trees $T_i = T(\lceil (n-2)/2 \rceil + k, \lfloor (n-2)/2 \rfloor - k)$ for $k = 0, \dots, k$, where $k \approx \sqrt{n}$

The (first \sqrt{n}) balanced double brooms of diameter 3 have larger energy than any other with n vertices.

We know how to order the first $O(\sqrt{n})$ trees on n vertices by Laplacian energy.

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The number of Laplacian eigenvalues greater than or equal the average degree we call σ .

More precisely σ is the largest integer for which $\mu_\sigma \geq \frac{2m}{n}$.

Property (Das, Mojallal, T., 2016)

(a) $\sigma = n$ iff $G \cong nK_1$.

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Problem

Characterize the graphs G for which

$$\sigma(G) = 1.$$

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It seems that smaller σ lead to high energy graphs

For trees the star S_n is the extremal graph with $\sigma(S_n) = 1$

For unicyclic graphs, the extremal graph candidate is the triangle with $n - 3$ balanced pendent vertices, with $\sigma = 2$

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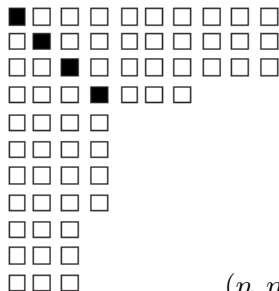
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(Helmberg, T., DM2015)

For threshold graphs with n vertices and m edges, a maximal Laplacian energy candidate is a graph with degree sequence d and

(i) minimum trace

(ii) conjugate degree d^ is lexicographically maximum*



$$(n, m, f) = (11, 31, 4)$$

$$\sigma = 4$$

Concluding Remarks

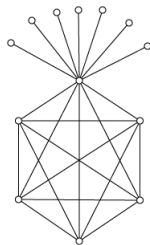
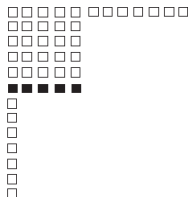
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Find the connected graph with n vertices with largest Laplacian energy.

Conjecture

The pineapple with trace $\lfloor 2n/3 \rfloor$ is the CONNECTED graph with largest Laplacian energy among graphs of n vertices.



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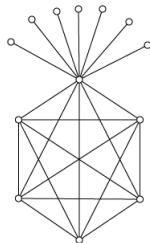
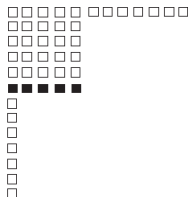
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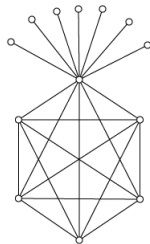
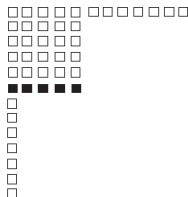
My Favorite Problems

Problem

Find the connected graph with n vertices with largest Laplacian energy.

Conjecture

*The pineapple with trace $\lfloor 2n/3 \rfloor$ is the **CONNECTED** graph with largest Laplacian energy among graphs of n vertices.*



Concluding Remarks

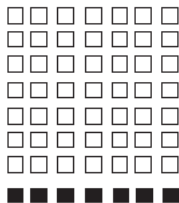
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Find the graph with n vertices with largest Laplacian energy.

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The complete graph with $\lceil (2n + 1)/3 \rceil$ and $\lfloor (n - 2)/3 \rfloor$ isolated vertices is the graph with largest Laplacian energy among graphs of n vertices.



Concluding Remarks

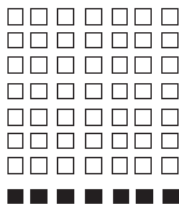
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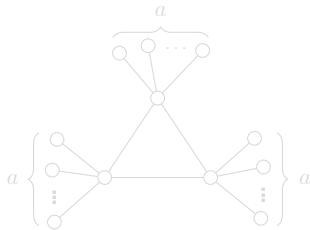
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Find the connected unicyclic graph on n vertices with largest Laplacian energy.

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The unicyclic graph on n vertices with largest Laplacian energy is the triangle with $n - 3$ balanced pendants.



Concluding Remarks

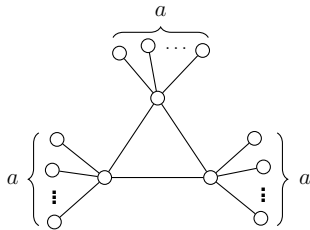
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Concluding Remarks

My older problems

Problem

Find the tree on n vertices with smallest Laplacian energy.

Conjecture

The path P_n is the tree on n vertices having least Laplacian energy.

Problem

Most Laplacian eigenvalues of a tree are smaller than average degree.

For a tree T with n vertices

$$\sigma(T) < |n/2|.$$



Concluding Remarks

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