

AN EXTREMAL PROBLEM FOR ALGEBRAIC POLYNOMIALS

WITH A PRESCRIBED ZERO

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Let π_n^1 be a set of polynomials $P(z) = a_0 + a_1 z + \dots + a_n z^n$, where $z = e^{it}$ and a_0, a_1, \dots, a_n are real numbers, with the condition $P(1) = 0$. Let us define $\|P\|_V$ and $\|P\|_L$ by

$$(1) \quad \|P\|_V^2 = \frac{1}{2\pi} \int_0^{2\pi} |P(e^{it})|^2 dt \quad \text{and} \quad \|P\|_L^2 = \frac{1}{2\pi} \int_0^{2\pi} \left| \frac{P(e^{it})}{e^{it} - 1} \right|^2 dt.$$

In a great number of papers (see, for example, [1], [2], [3], [4] several inequalities involving the norms (1) were given. Also, a great number of inequalities for polynomials from π_n^1 were proved (see, for example, [1], [5], [6], [7], [8], [9]). These inequalities give the estimation of $|P'(1)|$ in term of $\max|P(z)|$, $\min|P(z)|$, $\|P\|_V$, $\|P\|_L$, etc. The aim of this paper is to estimate $|P^{(m)}(1)|$ ($m \leq n$) for polynomials from π_n^1 in term of the norms defined by (1).

Theorem 1. Let P be a polynomial from the set π_n^1 . Then

$$(2) \quad \sup_{P \in \pi_n^1} \frac{|P^{(m)}(1)|}{\|P\|_V} = C_{n,m} \quad (m \leq n),$$

where

$$C_{n,m} = m! \left(\sum_{k=0}^n \binom{k}{m}^2 - \frac{1}{n+1} \binom{n+1}{m+1}^2 \right)^{1/2}.$$

The supremum in (2) is attained for $P(z) = (z-1) \sum_{k=1}^n x_k z^{k-1}$, $z=e^{it}$, where

$$x_k = A \left(\frac{n^{(m)}}{m+1} k - \sum_{i=0}^{k-1} i^{(m)} \right),$$

A is an arbitrary constant and $x^{(s)} = x(x-1)\dots(x-s+1)$.

Proof. Let $P \in \pi_n^1$. Then, we can write it in the form

$$P(z) = (z-1)(x_1 + x_2 z + \dots + x_n z^{n-1}) = \sum_{k=0}^n (-1)^k \Delta x_k z^k, \quad x_0 = x_{n+1} = 0,$$

where $\Delta x_k = x_{k+1} - x_k$. Now

$$P^{(m)}(z) = \sum_{k=0}^n (-1)^k \Delta x_k k^{(m)} z^{k-m},$$

so we obtain

$$P^{(m)}(1) = \sum_{k=0}^n (-1)^k k^{(m)} \Delta x_k \quad \text{and} \quad \|P\|_V^2 = \sum_{k=0}^n (\Delta x_k)^2.$$

Let $y_k = (-1)^k \Delta x_k$ for $k=0, 1, \dots, n$. Then (2) can be reduced to the solution of the extremal problem:

$$\text{Minimize } \sum_{k=0}^n y_k^2$$

$$(3) \quad \text{subject to } P^{(m)}(1) = \sum_{k=0}^n k^{(m)} y_k = C \quad \text{and} \quad \sum_{k=0}^n y_k = 0.$$

We consider the associated function F , viz.

$$F = \sum_{k=0}^n y_k^2 - \lambda \left(\sum_{k=0}^n k^{(m)} y_k - C \right) - \mu \left(\sum_{k=0}^n y_k \right),$$

where λ and μ are Lagrange multipliers, whose values we will determine. We must have

$$\frac{\partial F}{\partial y_k} = 2y_k - \lambda k^{(m)} - \mu = 0, \quad k=0, 1, \dots, n,$$

which yields

$$y_k = \frac{\lambda}{2} k^{(m)} + \frac{\mu}{2}, \quad k=0, 1, \dots, n.$$

Let

$$S_i = \sum_{k=0}^n (k^{(m)})^i = (m!)^i \sum_{k=0}^n \binom{k}{i}^i.$$

From the constraints (3), we obtain $\mu/2 = D_0/D$ and $\lambda/2 = D_1/D$, where $D = S_0 S_2 - S_1^2$, $D_0 = -S_1 C^2$ and $D_1 = -S_0 C$. Now

$$F = \frac{1}{D^2} \sum_{k=0}^n (D_0 + D_1 k^{(m)})^2 = \frac{S_0}{D} C^2 = \frac{S_0}{S_0 S_2 - S_1^2} |P^{(m)}(1)|^2,$$

wherefrom we obtain (2).

Since $\Delta x_k = y_k$, we have

$$x_k = - \sum_{i=0}^{k-1} y_i = - \frac{1}{D} \sum_{i=0}^{k-1} (D_0 - D_1 i^{(m)}),$$

i.e.,

$$x_k = A \left(\frac{n}{m+1} k - \sum_{i=0}^{k-1} i^{(m)} \right) \quad (A = \text{const}),$$

wherefrom we obtain the condition when in (2) the equality holds.

Remark 1. We will give $C_{n,m}^2$ for some actual values of m . So

$$C_{n,1}^2 = \frac{n(n+1)(n+2)}{12},$$

$$C_{n,2}^2 = \frac{n(n^2-1)(n+2)(4n-3)}{45},$$

$$C_{n,3}^2 = \frac{n(n^2-1)(n^2-4)(15n^2-35n+12)}{1680},$$

⋮

$$C_{n,n}^2 = \frac{n(n!)^2}{n+1}.$$

Note that the constant $C_{n,1}^2$ is determined in the paper [5].

Theorem 2. Let the polynomial P be from the set π_n^1 . Then

$$(4) \quad \sup_{P \in \pi_n^1} \frac{|P^{(m)}(1)|}{\|P\|_L} = \left((m-1)! \sum_{k=0}^{m-1} \frac{\binom{m-1}{k}}{k!} \cdot \frac{n^{(m+k)}}{m+k} \right)^{1/2} \quad (m \leq n).$$

The equality in (4) holds for $P(z) = A(z-1) \sum_{k=1}^n \frac{1}{k} k^{(m)} z^{k-1}$, $z = e^{it}$.

Proof. Since

$$\|P\|_L^2 = \sum_{k=1}^n x_k^2,$$

on the basis of Schwarz's inequality we obtain

$$\begin{aligned} |P^{(m)}(1)|^2 &= \left(\sum_{k=m}^n \frac{k^{(m)}}{k} x_k \right)^2 \leq \left(\sum_{k=m}^n \frac{k^{(m)2}}{k^2} \right) \left(\sum_{k=m}^n x_k^2 \right) \\ &\leq \left(\sum_{k=1}^n \frac{k^{(m)2}}{k^2} \right) \left(\sum_{k=1}^n x_k^2 \right), \end{aligned}$$

i.e. (4).

Remark 2. Using the same proof as in Theorem 2, we can get an inequality of the form $|P^{(m)}(1)| \leq \bar{C}_{n,m} \|P\|_V$, but the constant $\bar{C}_{n,m}$ is not best possible, i.e. the above inequality is rough.

References

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