

ON THE INEQUALITIES OF ZYGMUND AND DE BRUIJN

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ABSTRACT. For the polar derivative $D_\alpha P(z) = nP(z) + (\alpha - z)P'(z)$ of a polynomial $P(z)$ of degree n , most of the L^p inequalities available in the literature are for restricted values of α , and in this paper we extend few such fundamental results to all of α in the complex plane.

1. INTRODUCTION AND STATEMENT OF RESULTS

Let us denote by \mathcal{P}_n , the space of polynomials of degree not more than n , and let $\mathcal{P}_n(K)$ be the collection of polynomials from \mathcal{P}_n having no zeros in the disc $|z| < K$ with $K > 0$. *It is customary to assume that for a polynomial $P(z) \in \mathcal{P}_n$ of exact degree $n - m$, the point at $z = \infty$ is a zero of $P(z)$ of multiplicity m .* We know by a classical result due to Bernstein (see [18]), which states that if $P(z) \in \mathcal{P}_n$ is of degree n , then

$$\max_{|z|=1} |P'(z)| \leq n \max_{|z|=1} |P(z)|. \quad (1.1)$$

The inequality (1.1) is sharp and equality holds, if $P(z)$ has all its zeros at the origin. If $P(z) \in \mathcal{P}_n(1)$ is of degree n , then Erdős conjectured and later Lax [12] proved that

$$\max_{|z|=1} |P'(z)| \leq \frac{n}{2} \max_{|z|=1} |P(z)|. \quad (1.2)$$

The inequality (1.2) is best possible for $P(z) = (z + 1)^n$. Some generalizations of the inequality (1.2) to the class of Hurwitz polynomials may be seen in the recent paper of Kumar [11].

For $p > 0$ and any $P(z) \in \mathcal{P}_n$, we now consider the L^p -mean value on the circle which is defined by

$$M_p(P) := \left(\frac{1}{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^p d\theta \right)^{1/p}.$$

It is known [9] that $M_p(P)$ is nondecreasing for $p \in (0, \infty)$, and is a norm of $P(z)$ for $p \geq 1$. Moreover, the limits $p \rightarrow 0$ and $p \rightarrow \infty$ exist and

$$\lim_{p \rightarrow 0+} M_p(P) = \exp \left(\frac{1}{2\pi} \int_0^{2\pi} \log |P(e^{i\theta})| d\theta \right) =: M_0(P),$$

$$\lim_{p \rightarrow \infty} M_p(P) = \max_{|z|=1} |P(z)| =: M_\infty(P).$$

With reference to [13], the mean value $M_0(P)$ has often been called the *Mahler measure* of P .

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Zygmund [20] extended the Bernstein's inequality (1.1) to L^p norm as

$$M_p(P') \leq nM_p(P) \quad (1.3)$$

for any $P(z) \in \mathcal{P}_n$ of degree n and for any $p \geq 1$. The result (1.3) is sharp and equality holds if $P(z)$ has all its zeros at the origin.

The above inequality of Zygmund was extended by Arestov [1] for $0 < p < 1$.

De Bruijn [5] improved Zygmund's result for the class of polynomials having no zeros in the disc $|z| < 1$. He proved that, if $P(z) \in \mathcal{P}_n(1)$ is of degree n , then for any $p \geq 1$,

$$M_p(P') \leq n \frac{M_p(P)}{M_p(E)}, \quad (1.4)$$

where $E(z) := 1 + z$. Equality holds in (1.4) for $P(z) = 1 + z^n$.

Rahman and Schmeisser [17] showed that de Bruijn's inequality (1.4) is true for all positive values of p .

If $P(z) \in \mathcal{P}_n$ is of degree n , then the polar derivative of $P(z)$ with respect to a complex number α is defined as

$$D_\alpha P(z) = nP(z) + (\alpha - z)P'(z).$$

Note that $D_\alpha P(z)$ is a polynomial of degree at most $n - 1$, and

$$\lim_{\alpha \rightarrow \infty} \frac{D_\alpha P(z)}{\alpha} = P'(z)$$

uniformly with respect to z for $|z| \leq R$, $R > 0$. The polar derivative of a polynomial appeared apparently in the works of E. Laguerre and G. Szegő as a generalization of the classical derivative of a polynomial in connection with the issues related to the location of the zeros of polynomials, and is a classical object of research. In particular, the operator D_α for $|\alpha| \geq 1$ is a B_n -operator [16, §14.5].

The Bernstein-type inequalities and their generalizations have been extended to the polar derivatives of complex polynomials significantly, for which we refer to the monographs [15] and [16]. Before going into the details, let us introduce some notations. For any subset π_n of \mathcal{P}_n , let us denote by $\mathcal{K}_p(D_\alpha, \pi_n)$, the smallest exact possible π_n -constant in the inequality

$$M_p(D_\alpha P) \leq \mathcal{K}_p(D_\alpha, \pi_n) M_p(P), \quad P \in \pi_n. \quad (1.5)$$

The exact values of $\mathcal{K}_p(D_\alpha, \pi_n)$ are known only for some parameter values. They are most interesting in the cases $\pi_n = \mathcal{P}_n$ and $\pi_n = \mathcal{P}_n(K)$.

In the case of classical Bernstein inequality (1.1), i.e., for the classical derivative whenever $\alpha = \infty$ and for all p , $0 \leq p \leq \infty$, the equality

$$\lim_{\alpha \rightarrow \infty} \frac{\mathcal{K}_p(D_\alpha, \mathcal{P}_n)}{|\alpha|} = n$$

is well known (see Zygmund [20], Arestov [1]) as mentioned earlier with the inequality (1.3). The inequality (1.5) in this case turns into an equality on the polynomials cz^n .

On the space \mathcal{P}_n , consider the operator I , defining a one-to-one mapping of \mathcal{P}_n onto itself according to the formula

$$IP_n(z) = z^n P_n(1/z). \quad (1.6)$$

Similarly we define the operator $\bar{I}P_n(z) = z^n \overline{P_n(1/\bar{z})}$ and use it conveniently.

Now it is easy to see that the following proposition holds.

Proposition 1.1. *For any non-zero complex number α , and any subclass π_n of \mathcal{P}_n , the equality*

$$\mathcal{K}_p(D_\alpha, \pi_n) = |\alpha| \mathcal{K}_p(D_{1/\alpha}, I\pi_n)$$

holds for $0 \leq p \leq \infty$, where $I\pi_n$ is the class of polynomials, which is the image of class π_n when mapped by the operator I . In particular, on the space \mathcal{P}_n the equality

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n) = |\alpha| \mathcal{K}_p(D_{1/\alpha}, I\mathcal{P}_n)$$

holds.

The exact Bernstein's inequality (1.1) for the polar derivative of polynomials from \mathcal{P}_n for $|\alpha| \geq 1$ in the uniform norm was first obtained by Aziz in 1988 [3]. In fact he proved that, if $P(z) \in \mathcal{P}_n$ is of degree n , then

$$\max_{|z|=1} |D_\alpha P(z)| \leq n|\alpha| \max_{|z|=1} |P(z)|, \quad (1.7)$$

whenever $|\alpha| \geq 1$. This result is sharp and equality holds for $P(z) = z^n$ whenever $\alpha \geq 1$.

In the same paper, Aziz [3] established the polar derivative version of the inequality (1.2) by proving that, if $P(z) \in \mathcal{P}_n(1)$ is of degree n , then for any complex number α with $|\alpha| \geq 1$,

$$\max_{|z|=1} |D_\alpha \{P(z)\}| \leq \frac{n}{2}(1 + |\alpha|) \max_{|z|=1} |P(z)|. \quad (1.8)$$

The inequality (1.8) is best possible for $P(z) = 1 + z^n$ whenever $\alpha \geq 1$.

Taking Proposition 1.1 into account with the input of $p = \infty$, we obtain the following.

Corollary 1.2. *For any complex number α*

$$\mathcal{K}_\infty(D_\alpha, \mathcal{P}_n) = n \max\{1, |\alpha|\}.$$

Again the inequality (1.5) on \mathcal{P}_n turns into an equality for $|\alpha| \geq 1$ on the polynomials z^n and for $|\alpha| < 1$ on the constants.

One can see in the literature that the inequalities for the polar derivative $D_\alpha P(z)$ of a polynomial $P(z)$ are available mostly for restricted values of α , and for more information we refer to [7].

To the best of our knowledge, the L^p extension of the inequality (1.7) for any complex values of α is not available and therefore an attempt is made in this paper to settle this problem to some extent.

The inequality (1.8), and its extension in L^p settings are available only for $|\alpha| \geq 1$, and one of the more generalized results in this direction is due to Govil and Kumar [8], which is given by

$$M_p(D_\alpha(P)) \leq \frac{n(t_0 + |\alpha|)}{M_p(E_{t_0,1})} M_p(P) \quad (1.9)$$

or equivalently

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n(1)) \leq \frac{n(t_0 + |\alpha|)}{M_p(E_{t_0,1})}$$

for any $p \geq 0$, and for every complex number α with $|\alpha| \geq 1$, where all the zeros z_m , $1 \leq m \leq n$ (counting multiplicity) of any polynomial $P(z) \in \mathcal{P}_n(1)$ of degree n are such that $|z_m| \geq K_m$, $1 \leq m \leq n$. Here $E_{t_0,1}(z) := t_0 + z$, and $t_0 =$

$1 + n \left(\sum_{m=1}^n (K_m - 1)^{-1} \right)^{-1}$ if $K_m > 1$ ($1 \leq m \leq n$), and $t_0 = 1$ if $K_m = 1$ for some m , $1 \leq m \leq n$.

When $K_m = K$ for all m , then for any $p \geq 0$ and for every complex number α with $|\alpha| \geq 1$, we have

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n(K)) \leq \frac{n(K + |\alpha|)}{M_p(E_{K,1})}, \quad (1.10)$$

where $E_{K,1}(z) := K + z$.

As a particular case, when $K = 1$ in the above inequality (1.10), we obtain the bound for L^p copy of the inequality (1.8), which states that, for any $p \geq 0$ and for every complex number α with $|\alpha| \geq 1$,

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n(1)) \leq \frac{n(1 + |\alpha|)}{M_p(E)}, \quad (1.11)$$

where $E(z) = 1 + z$.

The case $p = \infty$ in (1.11) gives the inequality (1.8).

Let us prove some fundamental results that will fill the long-standing gaps in the literature on the inequalities for polar derivatives of complex polynomials in L^p environment. To begin with, let us present our first result on the L^p version of the inequality (1.7) not only for $|\alpha| \geq 1$, as given in the hypotheses of (1.7), but also for $|\alpha| < 1$. The result states that

Theorem 1.3. *For any complex number α and $p \geq 0$, we have*

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n) \leq n \min \left\{ 1 + |\alpha|, \frac{2 \max\{1, |\alpha|\}}{M_p(E)} \right\} \quad (1.12)$$

whenever $E(z) = 1 + z$. When $p = \infty$, the bound in (1.12) is best possible and consequently the equality in (1.5) holds for $P(z) = z^n$ whenever $|\alpha| \geq 1$ and $\pi_n = \mathcal{P}_n$.

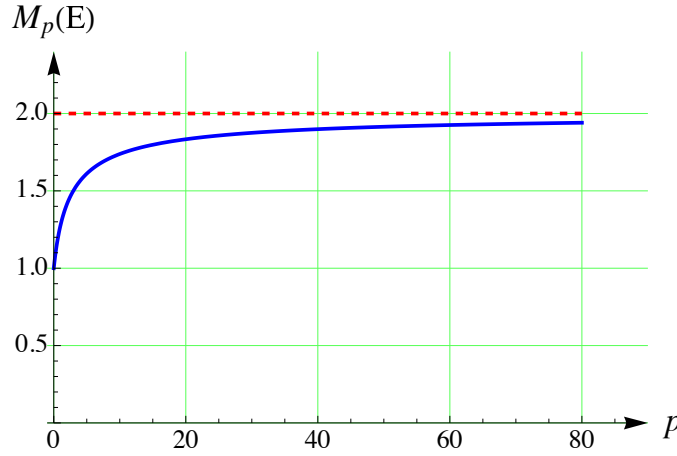


FIGURE 1. The constant $M_p(E)$ for $0 \leq p \leq 80$

It is known that

$$M_p(E) = \begin{cases} 1, & \text{if } p = 0, \\ \left(\frac{2^p \Gamma(\frac{p+1}{2})}{\sqrt{\pi} \Gamma(\frac{p}{2} + 1)} \right)^{1/p}, & \text{if } 0 < p < \infty, \\ 2, & \text{if } p = \infty, \end{cases}$$

where Γ denotes the gamma function (see Figure 1). Note that $M_1(E) = 4/\pi$ and $M_2(E) = \sqrt{2}$.

It may be remarked that for any non-negative values of p , the equality $M_p(E) = M_p(z^n + c)$, holds whenever $|c| = 1$. Since $M_0(E) = 1$, the exact bound in the inequality (1.5) for the case $p = 0$ is quite interesting and significant, and stated below.

Corollary 1.4. *For any complex number α ,*

$$\mathcal{K}_0(D_\alpha, \mathcal{P}_n) = n(1 + |\alpha|)$$

and the inequality (1.5) for $p = 0$ and $\pi_n = \mathcal{P}_n$, turns into equality on polynomials $c(z + a)^n$ whenever $|a| = 1$ and $\arg(a) = \arg(\alpha)$.

Apparently, on \mathcal{P}_n , the inequality (1.5) for $p = 0$ is sharp and the equality is attained for $P(z) = (1 + z)^n$ when $\alpha = 0$ and for $P(z) = (1 + \bar{\alpha}z/|\alpha|)^n$ when $\alpha \neq 0$.

Since $M_\infty(E) = 2$, we obtain the inequality

$$M_\infty(D_\alpha P(z)) \leq n \max\{1, |\alpha|\} M_\infty(P) \quad (1.13)$$

that extends the inequality due to Aziz [3, p. 188] given by

$$M_\infty(D_\alpha P(z)) \leq n|\alpha| M_\infty(P) \quad \text{for } |\alpha| \geq 1$$

to all values of α . The inequality (1.13) is best possible for any complex number α . As mentioned earlier, the equality is attained for $P(z) = z^n$ whenever $|\alpha| \geq 1$. When $|\alpha| < 1$, the sequence of polynomials $P_k(z) = 1 + z^n/k^n$ shows that for $k \rightarrow \infty$ the π_n -constant cannot be replaced by a smaller number in this case.

Remark 1.5. It is quite natural to think about the lower estimate for $\mathcal{K}_p(D_\alpha, \mathcal{P}_n)$. We can conclude from the examples $z^n + c$ and $(z + c)^n$ that

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n) \geq n \max \left\{ \frac{M_p(\alpha z + 1)}{M_p(E)}, \frac{(1 + |\alpha|)M_{(n-1)p}(E)}{M_{np}(E)} \right\}$$

for any complex values of α and $p \geq 0$.

As explained earlier, the exact constant $\mathcal{K}_p(D_\alpha, \mathcal{P}_n(1))$ of the inequality for the classical derivative for $\alpha = \infty$, and $0 \leq p \leq \infty$ (see [12], [5], [17] and [2]) is expressed by

$$\lim_{|\alpha| \rightarrow \infty} \frac{\mathcal{K}_p(D_\alpha, \mathcal{P}_n(1))}{|\alpha|} = \frac{n}{M_p(E)}$$

for $0 \leq p \leq \infty$. The inequality (1.5) on $\mathcal{P}_n(1)$ turns into equality on the polynomials $c(z^n + a)$, with $|a| = 1$, and in the case of $p = \infty$, on any arbitrary polynomial having all its zeros on the unit circle.

The exact inequality (1.5) for polynomials in $\mathcal{P}_n(1)$ for $|\alpha| \geq 1$ in the uniform norm is obtained by Aziz in 1988 [3]. For $|\alpha| < 1$ in the inequality (1.5) without any restrictions on the zeros of polynomials, the extremal polynomial has all its

zeros at the point $z = \infty$ and therefore, the exact constant in this case coincides with the constant in the inequality without restrictions. As a result, the equality

$$\mathcal{K}_\infty(D_\alpha, \mathcal{P}_n(1)) = \frac{n}{2}(1 + \max\{1, |\alpha|\})$$

is true for all complex values of α . In this case the inequality (1.5) turns into equality for $|\alpha| \geq 1$ on the polynomials $z^n + c$ with $|c| = 1$, and for $|\alpha| \leq 1$ on constants.

Thus the inequality (1.8), and its various generalizations in general L^p settings have been proved for $|\alpha| \geq 1$ (see [7]) and the inequality (1.5) with the π_n -constant given in (1.9) is one such result and as mentioned earlier, the case $|\alpha| < 1$ is still open. So let us establish the consolidated bound for $M_p(D_\alpha P)$ for any values of α as follows.

Theorem 1.6. *For any $p \geq 0$ and any complex number α , we have*

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n(1)) \leq \begin{cases} \frac{n(1 + |\alpha|)}{M_p(E)}, & \text{if } |\alpha| \geq 1, \\ \frac{n(1 + |\alpha|)}{M_p(E_{1,\alpha})}, & \text{if } |\alpha| < 1, \end{cases}$$

where $E(z)$ is as defined in Theorem 1.3, and $E_{1,\alpha}(z) := 1 + \alpha z$. In the case $p = \infty$, the above bound for π_n -constant is best possible and equality in (1.5) on $\mathcal{P}_n(1)$ holds for $P(z) = 1 + z^n$ whenever $|\alpha| \geq 1$.

In fact, the case $p = \infty$ in the above inequality extends the inequality (1.8) to all complex values of α as given below.

Corollary 1.7. *For any complex number α , we have*

$$\mathcal{K}_\infty(D_\alpha, \mathcal{P}_n(1)) \leq \begin{cases} \frac{n}{2}(1 + |\alpha|), & \text{if } |\alpha| \geq 1, \\ n, & \text{if } |\alpha| < 1. \end{cases}$$

This π_n -constant when $\pi_n = \mathcal{P}_n(1)$ is best possible and equality in this case holds in (1.5) for $P(z) = z^n + 1$ whenever $|\alpha| \geq 1$ and for $|\alpha| < 1$, the sequence of polynomials $P_k(z) = 1 + z^n/k^n$ shows that as $k \rightarrow \infty$, the bound n cannot be replaced by any smaller value.

The corresponding result for the case $p = 0$ is also quite interesting and given below.

Corollary 1.8. *For any complex values of α , the equality*

$$\mathcal{K}_0(D_\alpha, \mathcal{P}_n(1)) = \mathcal{K}_0(D_\alpha, \mathcal{P}_n) = n(1 + |\alpha|)$$

hold.

The above equality in Corollary 1.8 is a consequence of the fact that the inequality for polynomials without restrictions turns into equality for polynomials with zeros on the unit circle.

Remark 1.9. For any $P(z) \in \mathcal{P}_n(1)$ of degree n , the Mahler measure is $M_0(P) = |P(0)|$. Hence for $p = 0$, and $|\alpha| \geq 1$ the inequality (1.5) with π_n -constant given in Theorem 1.6 for $|\alpha| \geq 1$ may be rewritten as

$$\frac{1}{2\pi} \int_0^{2\pi} \log |D_\alpha P(e^{i\theta})| d\theta \leq \log(n(1 + |\alpha|) |P(0)|).$$

Remark 1.10. As a factor depending on α but not on p , the term $1 + |\alpha|$ in Theorem 1.6 is best possible. Indeed, equality is attained when $p = \infty$ and $P(z) = 1 + z^n$ with $|\alpha| \geq 1$. Also it may be a challenge to find the unique smallest number $\mathcal{K}_p(D_\alpha, \pi_n)$ depending on α and p that can replace the terms $1 + |\alpha|$ given in Theorems 1.3 and 1.6.

Remark 1.11. The lower estimate for $\mathcal{K}_p(D_\alpha, \mathcal{P}_n(1))$ would also be useful and again from the examples $z^n + c$ and $(z + c)^n$ we can arrive that

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n(1)) \geq n \max \left\{ \frac{M_p(\alpha z + 1)}{M_p(E)}, \frac{(1 + |\alpha|)M_{(n-1)p}(E)}{M_{np}(E)} \right\}$$

for any complex values of α .

On the class of polynomials $\mathcal{P}_n(K)$, $K \geq 1$, the sharp π_n -constants in the inequality (1.5) for the classical derivative was given by M. A. Malik [14]. In view of this, Theorem 1.6 can be generalized to the class of polynomials having no zeros in a disc $|z| < K$, $K \geq 1$, as follows.

Theorem 1.12. *Let $K \geq 1$. Then for any $p \geq 0$, and for every complex number α , we have*

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n(K)) \leq \begin{cases} \frac{n(K + |\alpha|)}{M_p(E_{K,1})}, & \text{if } |\alpha| \geq 1, \\ \frac{n(K + |\alpha|)}{M_p(E_{K,\alpha})}, & \text{if } |\alpha| < 1, \end{cases}$$

where $E_{K,1}(z) := K + z$ and $E_{K,\alpha}(z) := K + \alpha z$. In the case $p = \infty$, the above π_n -constant and the inequality (1.5) in this case is best possible and equality holds for the polynomial $(z + K)^n$ with real $\alpha \geq 1$, and $K \geq 1$.

In the lines of the inequality (1.9), the above result can be further sharpened as follows.

Theorem 1.13. *If $P(z)$ is a polynomial of degree n and z_m are its zeros such that $|z_m| \geq K_m \geq 1$, $1 \leq m \leq n$, then for any $p \geq 0$, and for every complex number α ,*

$$M_p(D_\alpha(P)) \leq \begin{cases} \frac{n(t_0 + |\alpha|)}{M_p(E_{t_0,1})} M_p(P), & \text{if } |\alpha| \geq 1, \\ \frac{n(t_0 + |\alpha|)}{M_p(E_{t_0,\alpha})} M_p(P), & \text{if } |\alpha| < 1, \end{cases}$$

where $E_{t_0,1}(z) := t_0 + z$ and $E_{t_0,\alpha}(z) := t_0 + \alpha z$ and $t_0 = 1 + n \left(\sum_{m=1}^n (K_m - 1)^{-1} \right)^{-1}$ if $K_m > 1$ ($1 \leq m \leq n$), and $t_0 = 1$ if $K_m = 1$ for some m , $1 \leq m \leq n$.

In the case $p = \infty$, the above inequality is best possible if $K_m = K \geq 1$, $1 \leq m \leq n$, and equality holds for the polynomial $(z + K)^n$ with real $\alpha \geq 1$, and $K \geq 1$.

Remark 1.14. Since Theorem 1.13 contains Theorems 1.6 and 1.12, we prove only Theorem 1.13. Also observe that the case $|\alpha| \geq 1$ in Theorem 1.13 is already established as given in (1.9), and therefore we present the proof only for $|\alpha| < 1$.

As mentioned earlier, surprisingly, the corresponding result for $p = \infty$ in Theorems 1.6, 1.12 and 1.13 for the case $|\alpha| < 1$ are same, and they are independent of the location of zeros as given in Corollary 1.7. But it may be observed that for $|\alpha| \geq 1$, the results behave differently (see also [7]) as functions of α , and K or t_0 accordingly.

The next result which seems to be of independent interest, and it can be obtained using the above Theorem 1.13.

Theorem 1.15. *If $P(z)$ is a polynomial of degree n , and z_m are its zeros such that $0 < |z_m| \leq K_m \leq 1$, $1 \leq m \leq n$, then for any $p \geq 0$, and for every complex number α ,*

$$M_p(D_\alpha(P)) \leq \begin{cases} \frac{n|\alpha|(1+|\alpha|s_0)}{M_p(E_{\bar{\alpha}s_0,1})} M_p(P), & \text{if } |\alpha| > 1, \\ \frac{n(1+|\alpha|s_0)}{M_p(E_{s_0,1})} M_p(P), & \text{if } |\alpha| \leq 1, \end{cases}$$

where $E_{\bar{\alpha}s_0,1}(z) := \bar{\alpha}s_0 + z$, and $s_0 = 1 + n(\sum_{m=1}^n K_m/(1-K_m))^{-1}$ if $K_m < 1$ ($1 \leq m \leq n$), and $s_0 = 1$ if $K_m = 1$ for some m , $1 \leq m \leq n$. Again in the case $p = \infty$, the above inequality is sharp in the case $K_m = K \leq 1$, $1 \leq m \leq n$ and equality holds for the polynomial $(z+K)^n$ with non-negative real $\alpha \leq 1$ and $K \leq 1$.

Remark 1.16. For the case $|\alpha| \leq 1$, Theorem 1.15 is already established by Govil and Kumar [8], and therefore we will be proving only the case $|\alpha| > 1$.

Before closing this section, let us make a remark on lower bound for $M_\infty(D_\alpha P)$ in Corollary 1.7 whenever $|\alpha| < 1$.

From the definition of the polar derivative of a polynomial $P(z)$ of degree n , it follows that, for $|\alpha| < 1$, and for any real values of θ ,

$$|D_\alpha P(e^{i\theta})| \geq |nP(e^{i\theta})| - (1+|\alpha|)|P'(e^{i\theta})|$$

and therefore

$$M_\infty(D_\alpha P) \geq nM_\infty(P) - (1+|\alpha|)M_\infty(P').$$

If $P(z)$ has no zeros in $|z| < 1$ then the inequality (1.2) applies here and therefore we get

$$M_\infty(D_\alpha P) \geq \frac{n}{2}(1-|\alpha|)M_\infty(P),$$

which brings us to the following result.

Proposition 1.17. *If $P(z)$ is a polynomial of degree n having all its zeros outside the open unit disc, then for any α with $|\alpha| < 1$, we have*

$$M_\infty(D_\alpha P) \geq \frac{n}{2}(1-|\alpha|)M_\infty(P). \quad (1.14)$$

The result is sharp and equality holds in the above inequality whenever $\alpha = 0$ for the polynomial $z^n + 1$.

It may be observed that when $\alpha = 0$, the above inequality (1.14) gives the well known Turán's inequality [19], a generalization of which was recently proved by Kumar [10].

2. LEMMAS

We need the following lemmas to prove our results. First lemma is a simple exercise [8].

Lemma 2.1. *Let $z_1, z_2 \in \mathbb{C}$ and $0 \leq \alpha \leq 2\pi$. Then for any $p \geq 0$, we have*

$$\int_0^{2\pi} |z_1 + z_2 e^{i\alpha}|^p d\alpha = \int_0^{2\pi} (|z_1| + |z_2| e^{i\alpha})^p d\alpha.$$

Next lemma is contained in the paper due to de Bruijn [5, inequality (19)], but we present a different proof over here.

Lemma 2.2. *Let $A, B \in \mathbb{C}$. Then for any real values of γ , and $p \geq 0$*

$$\int_0^{2\pi} |A + Be^{i\gamma}|^p d\gamma \geq 2\pi \max\{|A|^p, |B|^p\}.$$

Proof. In view of the Lemma 2.1, it suffices to prove Lemma 2.2 for positive values of A and B . Without loss of the generality, assume that $A \geq B > 0$, and set $r = B/A \in (0, 1]$. Then consider the function

$$f(p) = \int_0^{2\pi} |1 + re^{i\theta}|^p d\theta.$$

Since the map $p \mapsto |1 + re^{i\theta}|^p$ is convex for each choice of r and θ , it follows that $f(p)$ is also convex. Moreover,

$$f'(0) = \operatorname{Re} \left[\int_0^{2\pi} \log(1 + re^{i\theta}) d\theta \right] = \operatorname{Re} \left[\int_{|z|=r} \frac{\log(1+z)}{iz} dz \right] = 0.$$

Hence $f(0) = 2\pi$ is the global minimum of $f(p)$ or equivalently

$$f(p) \geq f(0) = 2\pi,$$

which completes the proof. \square

The following lemma is motivated by a proof in [16, p. 554].

Lemma 2.3. *Let $P(z) \in \mathcal{P}_n(1)$ be of degree n . Then for any $\gamma \in \mathbb{R}$, we have*

$$zP'(z) + e^{i\gamma}(nP(z) - zP'(z)) \in \mathcal{P}_n(1). \quad (2.1)$$

Proof. Suppose that $P(z) = c \prod_{\mu=1}^m (z - z_\mu)$, where $c \neq 0$, $m \leq n$ and $|z_\mu| \geq 1$ for $\mu = 1, \dots, m$. For any given z and ζ in the open unit disk, we have

$$n - (z - \zeta) \frac{P'(z)}{P(z)} = \sum_{\nu=1}^n A_\nu,$$

where

$$A_\nu := \begin{cases} \frac{\zeta - z_\nu}{z - z_\nu}, & \text{for } \nu = 1, \dots, m, \\ 1, & \text{for } \nu = m+1, \dots, n. \end{cases}$$

Now consider the image of $K := \{w \in \mathbb{C} : |w| \geq 1\} \cup \{\infty\}$ under the Möbius transform

$$\psi : w \mapsto \frac{\zeta - w}{z - w}.$$

Since $\psi(\infty) = 1$ and $\psi(w) \notin \{0, \infty\}$ for $w \in K$, we conclude that $\psi(K)$ is a closed disk that contains all the numbers A_1, \dots, A_n but not the point 0. Hence, by a convexity argument,

$$0 \neq \frac{1}{n} \sum_{\nu=1}^n A_\nu \in \psi(K),$$

which implies that $nP(z) - (z - \zeta)P'(z) \neq 0$. This holding for any $|z| < 1$ and $|\zeta| < 1$, it also holds for $|z| < 1$ and $\zeta = ze^{-i\gamma}$. Thus, (2.1) holds true. \square

Now we shall employ a rather deep result of Arestov [1]. First we introduce a notation. For $\mathbf{l} = (l_0, \dots, l_n) \in \mathbb{C}^{n+1}$, let $\Lambda_{\mathbf{l}}$ be the linear operator that associates with each polynomial $P(z) = \sum_{k=0}^n a_k z^k$ of degree at most n the polynomial

$$(\Lambda_{\mathbf{l}}P)(z) := \sum_{k=0}^n l_k a_k z^k.$$

Definition 2.4. We call the operator $\Lambda_{\mathbf{l}}$ *admissible* if it has the following property: whenever $P(z)$ is a polynomial of degree at most n not vanishing for $|z| < 1$, then either $(\Lambda_{\mathbf{l}}P)(z) \equiv 0$ or $(\Lambda_{\mathbf{l}}P)(z) \neq 0$ for $|z| < 1$.

The following lemma is part of a result of Arestov [1, Theorem 4]; also see [16, Theorem 13.2.11].

Lemma 2.5. Let $\phi(t) = \psi(\log t)$, where ψ is a convex nondecreasing function on \mathbb{R} . Then for any $P(z) \in \mathcal{P}_n$ and any admissible operator $\Lambda_{\mathbf{l}}$, we have

$$\int_0^{2\pi} \phi(|(\Lambda_{\mathbf{l}}P)(e^{i\theta})|) d\theta \leq \int_0^{2\pi} \phi(c(\mathbf{l}, n) |P(e^{i\theta})|) d\theta, \quad (2.2)$$

where $c(\mathbf{l}, n) = \max(|l_0|, |l_n|)$.

Lemma 2.6. Let a, b be any complex numbers and suppose that $|a| \geq |b| > 0$. Then for any $p > 0$, the function

$$f(x) := \int_0^{2\pi} |a + xb e^{i\gamma}|^p d\gamma \quad (2.3)$$

is increasing on $(0, 1)$ and $f(x) < f(1)$ for all $x \in (0, 1)$.

Proof. In view of Lemma 2.1, it suffices to prove (2.3) for non-negative values of a, b with $a \geq b > 0$.

In fact the result can be verified using subordination property. Since the function $g(z) = a + bz$ is analytic in the open unit disc $|z| < 1$, the function $g_p(z) = |g(z)|^p$ is subharmonic in the open unit disc for any $p > 0$. Therefore

$$f(x) := \int_0^{2\pi} g_p(xe^{i\theta}) d\theta$$

is an increasing function of $x \in (0, 1)$, which establishes (2.3).

But let us present an alternative proof in detail. The function $(1+z)^{p/2}$ has an analytic branch $\phi(z)$ in the open unit disc such that $\phi(x) > 0$ for all $x \in (-1, 1)$. This branch has the binomial series as power series expansion given by

$$\phi(z) = \sum_{j=0}^{\infty} \binom{p/2}{j} z^j,$$

where

$$\binom{p/2}{j} = \frac{1}{j!} \cdot \frac{p}{2} \left(\frac{p}{2} - 1\right) \cdots \left(\frac{p}{2} - (j-1)\right).$$

Note that the series converges absolutely for $|z| < 1$. Using this function $\phi(z)$ and its expansion, we can write the integrand in (2.3) as

$$\begin{aligned} |a + xbe^{i\gamma}|^p &= a^p \phi\left(\frac{xb}{a}e^{i\gamma}\right) \phi\left(\frac{xb}{a}e^{-i\gamma}\right) \\ &= a^p \sum_{j=0}^{\infty} \sum_{k=0}^{\infty} \binom{p/2}{j} \binom{p/2}{k} \left(\frac{xb}{a}\right)^{j+k} e^{i\gamma(j-k)}. \end{aligned}$$

Since the series is absolutely convergent, we can perform the integration termwise with respect to γ over $[0, 2\pi]$. Observe that for the terms with $j \neq k$, the integral vanishes and thus we obtain

$$f(x) = a^p \sum_{j=0}^{\infty} \binom{p/2}{j}^2 \left(\frac{xb}{a}\right)^{2j}.$$

This shows that $f(x_1) < f(x_2)$ for $0 < x_1 < x_2 < 1$, which proves the first part. The second part on the comparison of $f(x)$ with $f(1)$ follows from the continuity. \square

Lemma 2.7. *Let a, b be any two positive real numbers and $l \geq 1$ such that $a \geq bl$. Suppose γ is any real such that $0 \leq \gamma \leq 2\pi$. Then*

$$|l + me^{i\gamma}|(a + mb) \leq (l + m)|a + e^{i\gamma}mb|$$

for any $0 \leq m < 1$.

Proof. We need to show that

$$\frac{a + mb}{l + m} \leq \left| \frac{a + e^{i\gamma}mb}{l + e^{i\gamma}m} \right|,$$

which is equivalent to

$$b + \frac{a - bl}{l + m} \leq \left| b + \frac{a - bl}{l + e^{i\gamma}m} \right|.$$

Observe that $\operatorname{Re}(1/(l + e^{i\gamma}m))$ is an increasing function of γ which increases from $1/(l+m)$ to $1/(l-m)$ in $[0, \pi]$ and decreases in the reverse order in $[\pi, 2\pi]$. Therefore, $\operatorname{Re}(1/(l + e^{i\gamma}m)) \geq 1/(l + m)$ for any real value of γ , and therefore

$$b + \frac{a - bl}{l + m} \leq \operatorname{Re}\left(b + \frac{a - bl}{l + e^{i\gamma}m}\right) \leq \left| b + \frac{a - bl}{l + e^{i\gamma}m} \right|.$$

Hence the proof is complete. \square

Finally we need the following result due to Gardner and Govil [6].

Lemma 2.8. *If $P(z) = a_n \prod_{m=1}^n (z - z_m) \in \mathcal{P}_n(1)$ is of degree n such that $|z_m| \geq K_m \geq 1$, $1 \leq m \leq n$, then on $|z| = 1$,*

$$t_0 |P'(z)| \leq |nP(z) - zP'(z)|,$$

where t_0 is as given in Theorem 1.13.

3. PROOFS OF THEOREMS

Proof of Theorem 1.3. Let $R = \max\{|\alpha|, 1\}$. For $p > 0$, we consider the term

$$T := \int_0^{2\pi} |(1 + e^{i\gamma})|^p d\gamma \int_0^{2\pi} |D_\alpha\{P(e^{i\theta})\}|^p d\theta. \quad (3.1)$$

Obviously it may be rewritten and estimated as

$$\begin{aligned} T &= \int_0^{2\pi} \int_0^{2\pi} |(1 + e^{i\gamma})|^p |D_\alpha\{P(e^{i\theta})\}|^p d\gamma d\theta \\ &= \int_0^{2\pi} \int_0^{2\pi} |(1 + e^{i\gamma})|^p |nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta}) + \alpha P'(e^{i\theta})|^p d\gamma d\theta \\ &\leq \int_0^{2\pi} \int_0^{2\pi} |(1 + e^{i\gamma})|^p \left[|nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| + |\alpha P'(e^{i\theta})| \right]^p d\gamma d\theta \\ &\leq R^p \int_0^{2\pi} \int_0^{2\pi} |(1 + e^{i\gamma})| \left[|nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| + |P'(e^{i\theta})| \right]^p d\gamma d\theta \\ &\leq (2R)^p \int_0^{2\pi} \int_0^{2\pi} \left[|P'(e^{i\theta})| + e^{i\gamma} |nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| \right]^p d\gamma d\theta \\ &= (2R)^p \int_0^{2\pi} \int_0^{2\pi} |e^{i\theta}P'(e^{i\theta}) + e^{i\gamma} |nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})||^p d\gamma d\theta, \end{aligned} \quad (3.2)$$

where we have used the fact

$$\left| |P'(e^{i\theta})| + e^{i\gamma} |nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| \right| = \left| |nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| + e^{i\gamma} |P'(e^{i\theta})| \right|. \quad (3.3)$$

Now employing Lemma 2.1 to the integral with respect to γ in (3.2) and interchanging the order of integration afterwards, we find that

$$T \leq (2R)^p \int_0^{2\pi} \int_0^{2\pi} |e^{i\theta}P'(e^{i\theta}) + e^{i\gamma}(nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta}))|^p d\theta d\gamma. \quad (3.4)$$

It is easily verified that the linear mapping

$$P(z) \mapsto zP'(z) + e^{i\gamma}(nP(z) - zP'(z))$$

is an operator Λ_l with the vector $l \in \mathbb{C}^{n+1}$ given by

$$l_k := k(1 - e^{i\gamma}) + ne^{i\gamma} \quad (k = 0, 1, \dots, n).$$

By Lemma 2.3, this operator is admissible in the sense of Definition 2.4. Furthermore, for $t > 0$ and $p > 0$, we have $t^p = \psi(\log t)$, where ψ is a nondecreasing, convex function on \mathbb{R} . Hence Lemma 2.5 applies to the inner integral in (3.4). Since $\max(|l_0|, |l_n|) = n$, we obtain

$$\int_0^{2\pi} |e^{i\theta}P'(e^{i\theta}) + e^{i\gamma}(nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta}))|^p d\theta \leq n^p \int_0^{2\pi} |P(e^{i\theta})|^p d\theta. \quad (3.5)$$

Finally, integrating both sides with respect to γ over $[0, 2\pi]$ and combining the resulting inequality with (3.4) and the definition of T in (3.1), we arrive at

$$\int_0^{2\pi} |1 + e^{i\gamma}|^p d\gamma \int_0^{2\pi} |D_\alpha\{P(e^{i\theta})\}|^p d\theta \leq (2R)^p 2\pi n^p \int_0^{2\pi} |P(e^{i\theta})|^p d\theta,$$

which proves one part of the requirement with the fact that $R = |\alpha|$ if $|\alpha| \geq 1$, and $R = 1$ if $|\alpha| < 1$ by obtaining the inequality (1.5), where

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n) = \begin{cases} \frac{2n|\alpha|}{M_p(E)}, & \text{if } |\alpha| \geq 1, \\ \frac{2n}{M_p(E)}, & \text{if } |\alpha| < 1, \end{cases}$$

and $E(z) = 1 + z$.

Let us establish the remaining part of our claim. For any $p > 0$, and using the inequality (3.5), we get

$$\begin{aligned} S &= n^p (1 + |\alpha|)^p \int_0^{2\pi} |P(e^{i\theta})|^p d\theta = \frac{1}{2\pi} n^p (1 + |\alpha|)^p \int_0^{2\pi} \int_0^{2\pi} |P(e^{i\theta})|^p d\theta d\gamma \\ &\geq \frac{1}{2\pi} (1 + |\alpha|)^p \int_0^{2\pi} \int_0^{2\pi} |e^{i\theta} P'(e^{i\theta}) + e^{i\gamma} (nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta}))|^p d\theta d\gamma \end{aligned}$$

for any γ with $0 \leq \gamma \leq 2\pi$. Therefore, using Lemma 2.1, we have

$$\begin{aligned} S &\geq \frac{1}{2\pi} (1 + |\alpha|)^p \int_0^{2\pi} \int_0^{2\pi} ||P'(e^{i\theta})| + e^{i\gamma} |nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta})||^p d\gamma d\theta \\ &= \frac{1}{2\pi} \int_0^{2\pi} \int_0^{2\pi} [|P'(e^{i\theta})| + e^{i\gamma} |nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta})| \\ &\quad + |\alpha| |nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta})| + e^{i\gamma} |P'(e^{i\theta})|]^p d\gamma d\theta, \end{aligned}$$

where we used again the property given in (3.3). Therefore using the triangle inequality and then by doing some rearrangements, we will have

$$\begin{aligned} S &\geq \frac{1}{2\pi} \int_0^{2\pi} \int_0^{2\pi} [|P'(e^{i\theta})| + |\alpha| |nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta})| \\ &\quad + e^{i\gamma} (|nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta})| + |\alpha| |P'(e^{i\theta})|)]^p d\gamma d\theta. \end{aligned}$$

Now, applying Lemma 2.2, we get

$$\begin{aligned} S &\geq \int_0^{2\pi} ||nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta})| + |\alpha P'(e^{i\theta})||^p d\theta \\ &\geq \int_0^{2\pi} |nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta}) + \alpha P'(e^{i\theta})|^p d\theta, \end{aligned}$$

and therefore we have

$$S \geq \int_0^{2\pi} |D_\alpha P(e^{i\theta})|^p d\theta.$$

Now raising to the power $1/p$ on both sides of the above inequality we get

$$M_p(D_\alpha P) \leq n(1 + |\alpha|) M_p(P). \quad (3.6)$$

Thus, the second estimate for π_n -constant in this case is

$$\mathcal{K}_p(D_\alpha, \mathcal{P}_n) \leq n(1 + |\alpha|).$$

The bound $n(1 + |\alpha|)$ in (3.6) was first observed by Aziz and Rather [4]. Combining the above two estimates of $\mathcal{K}_p(D_\alpha, \mathcal{P}_n)$ we get the desired bound for $p > 0$. The cases $p = 0$ and $p = \infty$ are deduced by a limiting process. Thus the proof is complete. \square

Proof of Theorem 1.13. We have for any $p > 0$ and $|\alpha| < 1$,

$$\begin{aligned} & \int_0^{2\pi} |D_\alpha[P(e^{i\theta})]|^p d\theta \int_0^{2\pi} |t_0 + \alpha e^{i\gamma}|^p d\gamma \\ &= \int_0^{2\pi} \int_0^{2\pi} |t_0 + \alpha e^{i\gamma}|^p |D_\alpha[P(e^{i\theta})]|^p d\theta d\gamma \\ &= \int_0^{2\pi} \int_0^{2\pi} |t_0 + \alpha e^{i\gamma}|^p |nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta}) + \alpha P'(e^{i\theta})|^p d\theta d\gamma \\ &\leq \int_0^{2\pi} \int_0^{2\pi} |t_0 + |\alpha|e^{i\gamma}|^p [|nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| + |\alpha||P'(e^{i\theta})|]^p d\gamma d\theta, \end{aligned}$$

where we used Lemma 2.1. Therefore

$$\begin{aligned} & \int_0^{2\pi} |D_\alpha[P(e^{i\theta})]|^p d\theta \int_0^{2\pi} |t_0 + \alpha e^{i\gamma}|^p d\gamma \\ &\leq \int_0^{2\pi} \int_0^{2\pi} |(t_0 + |\alpha|e^{i\gamma}) [nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| + |\alpha||P'(e^{i\theta})|]|^p d\gamma d\theta. \end{aligned} \quad (3.7)$$

Since the zeros z_m of $P(z)$ satisfy $|z_m| \geq K_m \geq 1$, it follows by Lemma 2.8 that

$$t_0|P'(e^{i\theta})| \leq |nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})|.$$

The above by using Lemma 2.7, we have for every complex number α with $|\alpha| < 1$,

$$\begin{aligned} & [|nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| + |\alpha||P'(e^{i\theta})|]|t_0 + |\alpha|e^{i\gamma}| \\ &\leq (t_0 + |\alpha|) [|nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| + e^{i\gamma}|\alpha||P'(e^{i\theta})|]. \end{aligned} \quad (3.8)$$

Now, if we use the above inequality (3.8) in (3.7), and again using Lemma 2.1, we get

$$\begin{aligned} & \int_0^{2\pi} |D_\alpha[P(e^{i\theta})]|^p d\theta \int_0^{2\pi} |t_0 + \alpha e^{i\gamma}|^p d\gamma \\ &\leq (|\alpha| + t_0)^p \int_0^{2\pi} \int_0^{2\pi} [|nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta})| + e^{i\gamma}|\alpha||P'(e^{i\theta})|]^p d\gamma d\theta. \end{aligned}$$

By applying Lemma 2.6 to the inner integral above and, then using the inequality (3.3) and Lemma 2.1 we get

$$\begin{aligned} & \int_0^{2\pi} |D_\alpha[P(e^{i\theta})]|^p d\theta \int_0^{2\pi} |t_0 + \alpha e^{i\gamma}|^p d\gamma \\ &\leq (|\alpha| + t_0)^p \int_0^{2\pi} \int_0^{2\pi} |e^{i\theta}P'(e^{i\theta}) + e^{i\gamma}(nP(e^{i\theta}) - e^{i\theta}P'(e^{i\theta}))|^p d\gamma d\theta. \end{aligned} \quad (3.9)$$

Again using the inequality that appeared in the proof of Theorem 1.3 given by

$$\int_0^{2\pi} |e^{i\theta} P'(e^{i\theta}) + e^{i\gamma} (nP(e^{i\theta}) - e^{i\theta} P'(e^{i\theta}))|^p d\theta \leq n^p \int_0^{2\pi} |P(e^{i\theta})|^p d\theta$$

in (3.9), we obtain

$$\int_0^{2\pi} |D_\alpha [P(e^{i\theta})]|^p d\theta \int_0^{2\pi} |t_0 + \alpha e^{i\gamma}|^p d\gamma \leq (|\alpha| + t_0)^p 2\pi n^p \int_0^{2\pi} |P(e^{i\theta})|^p d\theta, \quad (3.10)$$

and the desired inequality can now be obtained by raising to the power $1/p$ on both sides of (3.10), and then doing some rearrangement of terms. Again the cases $p = 0$ and $p = \infty$ are deduced by a limiting process. Thus the proof is complete. \square

Proof of Theorem 1.15. This result is a consequence of Theorem 1.12 and Proposition 1.1. For the sake of completeness, we present the proof over here. Since $P(z)$ has all its zeros z_m such that $0 < |z_m| \leq K_m$, $K_m \leq 1$, the polynomial $\bar{I}P(z) = Q(z) = z^n \overline{P(1/\bar{z})}$ has zeros $1/\bar{z}_m$ with $|1/\bar{z}_m| \geq 1/K_m \geq 1$. Therefore, applying Theorem 1.13 to the polynomial $Q(z)$, we get for any complex number $|\alpha| < 1$ and $p \geq 0$,

$$M_p(D_\alpha Q) \leq \frac{n(|\alpha| + s_0)}{M_p(E_{s_0, \alpha})} M_p(Q).$$

If $|\alpha| > 1$, then $1/|\alpha| < 1$, and hence by replacing α by $1/\bar{\alpha}$ in the above inequality, we get for $|\alpha| > 1$,

$$M_p(D_{1/\bar{\alpha}} Q) \leq \frac{n(s_0, |1/\alpha|)}{M_p(E_{1/\bar{\alpha}, s_0})} M_p(Q).$$

Using the Proposition 1.1 with operator \bar{I} in the above inequality we get

$$M_p(D_\alpha P) \leq \frac{n|\alpha|(|1 + |\alpha|s_0|)}{M_p(E_{1, \bar{\alpha}s_0})} M_p(Q).$$

Since $|Q(e^{i\theta})| = |P(e^{i\theta})|$, the above inequality reduces to desired inequality, and therefore the proof is complete. \square

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